



THE NAVAJO NATION  
General Fund Revenue Schedule  
(Unaudited)  
June 30, 2019

FY 2019

GENERAL FUND REVENUE	Original Budget	Revised Budget	Actual Revenue Received	Revenue to be collected	% Revenue of Total
TNN: ROYAL; GAS; OIL	\$ 27,153,000	\$ 27,153,000	\$ 20,535,030	\$ 6,617,970	75.63
TNN: COAL REVENUES	51,369,000	51,369,000	42,388,194	8,980,806	82.52
TNN:OTR MINERALS REV			276,548	(276,548)	
TNN: LAND REVENUES	61,929,000	61,929,000	54,416,274	7,512,726	87.87
TNN: BUSINESS FEES			47,075	(47,075)	
TNN: INTEREST INCOME	2,900,000	2,900,000	16,015,311	(13,115,311)	552.25
TNN: TAX REVENUES	61,519,000	61,519,000	53,285,774	8,233,226	86.62
COURT FINES + FEES	400,000	400,000	522,548	(122,548)	130.64
TNN: OTHER REVENUES	500,000	500,000	3,882,102	(3,382,102)	776.42
BIA: ROYAL; GAS; OIL			472,677	(472,677)	
BIA: COAL REVENUES			66	(66)	
BIA:OTR MINERALS REV				-	
BIA: LAND REVENUES			94,903	(94,903)	
<b>TOTAL REVENUE</b>	<b>\$ 205,770,000</b>	<b>\$ 205,770,000</b>	<b>191,936,502</b>	<b>(1) \$ 13,833,498</b>	<b>93.28</b>
<b>LESS:SET ASIDES</b>					
CAPITAL OUTLAY MATCH	\$ (2,000,000)	\$ (2,000,000)	(2,000,000)	\$ -	100.00
LAND FUND TRANSFER	(4,115,000)	(4,115,000)	(3,838,730)	(276,270)	93.29
PERMANENT FUND TRNSF	(24,692,000)	(24,692,000)	(23,032,380)	(1,659,620)	93.28
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000)	(2,000,000)	-	100.00
DINE' HIGHER EDUCATIO	(12,400,000)	(12,400,000)	(12,400,000)	-	100.00
VETERANS TRUST FUND S	(8,231,000)	(8,231,000)	(7,677,460)	(553,540)	93.27
<b>TOTAL SET ASIDE</b>	<b>\$ (53,438,000)</b>	<b>\$ (53,438,000)</b>	<b>\$ (50,948,570)</b>	<b>(2) \$ (2,489,430)</b>	<b>95.34</b>
<b>SUB TOTAL</b>	<b>\$ 152,332,000</b>	<b>\$ 152,332,000</b>	<b>\$ 140,987,931</b>	<b>(3) \$ 11,344,069</b>	<b>92.55</b>
<b>PERMANENT FUND INCOME TRANSFER</b>					
OTHER REVENUE TRANSFER	\$ 19,828,000	\$ 19,828,000	\$ 19,828,000	(4) \$ -	100.00
<b>TOTAL PFI TRANSFER</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ -</b>	<b>100.00</b>
<b>NET PFI TRANSFER</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ -</b>	<b>\$ 100</b>
<b>GRAND TOTAL</b>	<b>\$ 172,160,000</b>	<b>\$ 172,160,000</b>	<b>\$ 160,815,931</b>	<b>(5) \$ 11,344,069</b>	<b>93.41</b>

- (1) Gross General Fund Revenues
- (2) Total Set Asides for General Fund Revenue
- (3) Net General Fund Revenue
- (4) Permanent Fund Income allocation to General Fund
- (5) Grand total General Fund Revenues



The Navajo Nation  
Budget Status\_Income Statement  
As of June 30, 2019

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
<b>LEGISLATIVE BRANCH</b>						
2001 - Personnel Expenses	\$ 9,665,430	12,212,723	7,755,791		\$ 4,456,932	36.49
3000 - Travel Expenses	1,373,560	1,789,445	1,157,690	54,940	576,815	32.23
3500 - Meeting Expenses	198,239	336,790	159,240	-	177,550	52.72
4000 - Supplies	295,751	645,180	360,857	33,608	250,715	38.86
5000 - Lease & Rental	224,045	233,337	118,405	35,309	79,622	34.12
5500 - Communications & Utilities	128,747	155,207	82,793	-	72,414	46.66
6000 - Repairs & Maintenance	110,860	133,524	68,289	8,799	56,436	42.27
6500 - Contractual Services	502,488	2,523,199	459,275	625,153	1,438,771	57.02
7000 - Special Transactions	675,583	867,159	326,670	18,477	522,011	60.20
8000 - Assistance	-	-	1,045	-	(1,045)	
9000 - Capital Outlay	125,857	424,939	151,855	-	273,084	64.26
9500 - Matching & Indirect Cost	-	-	-	-	-	
<b>Total LEGISLATIVE BRANCH</b>	<b>\$ 13,300,560</b>	<b>\$ 19,321,503</b>	<b>\$ 10,641,912</b>	<b>(1a) \$ 776,286</b>	<b>(1b) \$ 7,903,305</b>	<b>(1c) 40.90</b>
<b>EXECUTIVE BRANCH</b>						
2001 - Personnel Expenses	\$ 84,756,288	91,158,577	56,449,804	-	\$ 34,708,773	38.08
3000 - Travel Expenses	8,659,383	8,608,777	6,025,940	22,115	2,560,722	29.75
3500 - Meeting Expenses	492,193	516,562	222,972	-	293,590	56.84
4000 - Supplies	4,094,579	6,718,063	3,105,761	562,991	3,049,311	45.39
5000 - Lease & Rental	1,274,315	1,342,336	817,246	108,099	416,991	31.06
5500 - Communications & Utilities	1,828,070	1,965,991	1,051,466	35,934	878,591	44.69
6000 - Repairs & Maintenance	2,753,122	4,748,771	1,504,025	346,408	2,898,337	61.03
6500 - Contractual Services	3,161,997	9,221,392	3,058,336	1,818,434	4,344,621	47.11
7000 - Special Transactions	4,621,749	6,764,368	4,171,950	235,247	2,357,170	34.85
8000 - Assistance	35,500,662	44,804,717	32,174,694	5,445,996	7,184,027	16.03
9000 - Capital Outlay	1,835,731	5,068,771	958,144	1,060,027	3,050,601	60.18
9300 - Other Income and Expense	-	-	-	-	-	
9500 - Matching & Indirect Cost	-	2,345,806	122,619	-	2,223,187	94.77
<b>Total EXECUTIVE BRANCH</b>	<b>\$ 148,978,089</b>	<b>\$ 183,264,131</b>	<b>\$ 109,662,958</b>	<b>(2a) \$ 9,635,252</b>	<b>(2b) \$ 63,965,921</b>	<b>(2c) 34.90</b>
<b>JUDICIAL BRANCH</b>						
2001 - Personnel Expenses	\$ 13,453,992	13,453,992	8,964,626	-	\$ 4,489,366	33.37
3000 - Travel Expenses	432,013	528,043	319,767	-	208,276	39.44
3500 - Meeting Expenses	15,613	17,181	6,025	-	11,156	64.93
4000 - Supplies	250,963	373,895	196,077	21,495	156,323	41.81
5000 - Lease & Rental	11,070	23,823	17,596	-	6,227	26.14
5500 - Communications & Utilities	66,194	87,414	46,087	-	41,328	47.28
6000 - Repairs & Maintenance	52,902	61,761	17,704	-	44,057	71.34
6500 - Contractual Services	11,000	10,876	2,478	-	8,398	77.21
7000 - Special Transactions	223,679	296,361	190,191	1,013	105,156	35.48
8000 - Assistance	-	-	-	-	-	
9000 - Capital Outlay	-	54,303	22,877	-	31,426	57.87
9300 - Other Income and Expense	-	-	-	-	-	
<b>Total JUDICIAL BRANCH</b>	<b>\$ 14,517,426</b>	<b>\$ 14,907,649</b>	<b>\$ 9,783,428</b>	<b>(3a) \$ 22,508</b>	<b>(3b) \$ 5,101,712</b>	<b>(3c) 34.22</b>
<b>FIXED COST</b>						
2001 - Personnel Expenses	-	-	-	-	-	
3000 - Travel Expenses	\$ 351,046	351,046	172,513	-	\$ 178,533	50.86
3500 - Meeting Expenses	-	-	-	-	-	
4000 - Supplies	326,083	433,185	128,872	67,246	237,067	54.73
5000 - Lease & Rental	171,400	349,084	141,133	1,848	206,103	59.04
5500 - Communications & Utilities	5,500,725	6,277,536	4,376,634	401,462	1,499,441	23.89
6000 - Repairs & Maintenance	2,058,257	3,996,014	1,159,063	523,345	2,313,606	57.90
6500 - Contractual Services	3,106,961	4,643,292	1,877,422	2,390,276	375,593	8.09
7000 - Special Transactions	9,019,504	9,024,168	8,756,357	-	267,811	2.97
8000 - Assistance	-	-	-	-	-	
9000 - Capital Outlay	69,168	1,161,000	1,014,079	77,753	69,168	5.96
9300 - Other Income and Expense	-	-	-	-	-	
9500 - Matching & Indirect Cost	4,400,000	12,641,515	4,941,366	-	7,700,149	60.91
<b>Total FIXED COST</b>	<b>\$ 25,003,144</b>	<b>\$ 38,876,840</b>	<b>\$ 22,567,439</b>	<b>(4a) \$ 3,461,931</b>	<b>(4b) \$ 12,847,471</b>	<b>(4c) 33.05</b>
<b>GRAND TOTAL:</b>	<b>\$ 201,799,219</b>	<b>\$ 256,370,123</b>	<b>\$ 152,655,737</b>	<b>(5a) \$ 13,895,978</b>	<b>(5b) \$ 89,818,409</b>	<b>(5c) 35.03</b>

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Fixed Cost

- (4a) Fixed Cost Expenses
- (4b) Fixed Cost Encumbrances
- (4c) Fixed Cost Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Total General Fund and Fixed Cost

- (5a) General Fund and Fixed Cost Expenses
- (5b) General Fund and Fixed Cost Encumbrances
- (5c) General Fund and Fixed Cost Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available



FY 2019  
EXHIBIT "C"

Undesignated, Unreserved, Fund Balance (UUFB)  
June 20, 2019

09-30-18 UUFB balance <u>(Un-Audited)</u>		\$	42,334,700
<b>Less Supplementals:</b>			
CJN-21-19-Summer Youth Employment	3,000,000		
CJN-22-19-Chapter Official Stipends	<u>1,374,278</u>		
Total Supplementals			<u>4,374,278</u>
<b>UUFB -unaudited 06/20/19</b>		\$	<u><u>37,960,422</u></u>



THE NAVAJO NATION  
Active(Non-PEC) Federal Fund Report (Unaudited)  
May 31, 2019

**FY 2019**  
**EXHIBIT "D"**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	418,461	-	-	418,461
JUDICIAL BRANCH	1,878,990	142,681	28,222	1,708,087
OFFICE OF THE PRESIDENT AND VICE PRES.	11,854	-	-	11,854
DIV. OF COMMUNITY DEVELOPMENT	15,280,887	12,644,752	1,103,357	1,532,778
DEPT OF DINE EDUCATION	48,723,582	21,679,420	1,102,447	25,941,715
DIV. OF ECONOMIC DEVELOPMENT	154,016	-	-	154,016
ENV. PROTECTION AGENCY	12,642,473	9,496,573	375,467	2,770,434
DIVISION OF GENERAL SERVICES	8,834,415	2,572,123	35,741	6,226,551
DEPARTMENT OF HEALTH	43,464,457	11,867,002	1,090,727	30,506,728
DIVISION OF HUMAN RESOURCES	46,856,641	34,807,062	742,616	11,306,963
DIVISION OF NATURAL RESOURCES	43,908,577	33,438,052	575,415	9,895,110
DIVISION OF PUBLIC SAFETY	19,947,350	14,498,061	233,126	5,216,163
DIVISION OF SOCIAL SERVICES	170,507,800	86,514,017	5,685,576	78,308,207
DIVISION OF TRANSPORTATION	227,683,712	54,424,222	50,309,435	122,950,055
<b>Total ALL DIVISIONS</b>	<b><u>640,313,215</u></b>	<b><u>282,083,963</u></b>	<b><u>61,282,129</u></b>	<b><u>296,947,123</u></b>

Prepared by:  
Contract Accounting  
07-10-19



THE NAVAJO NATION  
Active(Non-PEC) State Fund Report (Unaudited)  
May 31, 2019

**FY 2019**  
**EXHIBIT "E"**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	292,600	2,882	112,318	177,400
DIV. OF COMMUNITY DEVELOPMENT	15,141,016	2,070,195	4,212,614	8,858,207
DEPT OF DINE EDUCATION	3,442,523	756,840	400,964	2,284,719
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	-	-	-	-
DIVISION OF GENERAL SERVICES	1,318,559	587,867	51,232	679,460
DEPARTMENT OF HEALTH	10,818,229	4,259,695	479,777	6,078,757
DIVISION OF HUMAN RESOURCES	-	-	-	-
DIVISION OF NATURAL RESOURCES	17,930	17,921	-	9
DIVISION OF PUBLIC SAFETY	24,000	1,958	-	22,042
DIVISION OF SOCIAL SERVICES	9,179,797	4,794,986	54,096	4,330,715
DIVISION OF TRANSPORTATION	2,718,821	66,913	1,683,445	968,463
<b>Total ALL DIVISIONS</b>	<b><u>42,953,474</u></b>	<b><u>12,559,257</u></b>	<b><u>6,994,446</u></b>	<b><u>23,399,771</u></b>



THE NAVAJO NATION  
 Active(Non-PEC) BIA-IHS Report (Unaudited)  
 May 31, 2019

**FY 2019**  
**EXHIBIT "F"**

**Active (Non-PEC) BIA-IHS**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
DEPARTMENT OF HEALTH	190,429,666	95,752,080	1,843,452.13	92,834,134
DIVISION OF PUBLIC SAFETY	44,705,416	31,380,028.66	10,781.65	13,314,605
DIVISION OF SOCIAL SERVICES	5,229,578	2,845,998.48	33,194.52	2,350,385
<b>FED 638 CONTRACT (DHHS - IHS)</b>	<b><u>240,364,660</u></b>	<b><u>129,978,107</u></b>	<b><u>1,887,428</u></b>	<b><u>108,499,125</u></b>
JUDICIAL BRANCH	7,167,914	5,457,123.13	56,644.52	1,654,146
OFFICE OF MANAGEMENT & BUDGET	537,432	0.00	0.00	537,432
DIV. OF COMMUNITY DEVELOPMENT	103,685,030	86,358,262.69	0.00	17,326,767
DEPT OF DINE EDUCATION	2,922,543	2,043,514.79	46,203.86	832,824
DIV.OF ECONOMIC DEVELOPMENT	87,115,726	24,939,836	5,526,002	56,649,887
DIVISION OF HUMAN RESOURCES	5,334,614	2,112,589.85	35,503.55	3,186,521
DIVISION OF NATURAL RESOURCES	142,245,125	45,375,313.24	32,918,495.00	63,951,317
DIVISION OF PUBLIC SAFETY	108,276,256	60,959,444.78	1,708,741.98	45,608,069
DIVISION OF SOCIAL SERVICES	40,849,286	18,186,705.00	7,516,949.01	15,145,632
DIVISION OF TRANSPORTATION	2,199,092	2,002,854.52	79,510.71	116,726
<b>FED 638 CONTRACT (DOI - BIA)</b>	<b><u>500,333,018</u></b>	<b><u>247,435,644</u></b>	<b><u>47,888,051</u></b>	<b><u>205,009,323</u></b>
<b>Total ALL DIVISIONS</b>	<b><u>740,697,678</u></b>	<b><u>377,413,751</u></b>	<b><u>49,775,479</u></b>	<b><u>313,508,448</u></b>



**Master Trust and Retirement Performance**  
**May 31, 2019**  
**\*\*Unaudited\*\***

**FY 2019**  
**EXHIBIT "G"**

<b>MASTER TRUST*</b>																
	-100- General Fund	-115- Workers Comp	-120- Permanent Trust	-125- Handicapped Trust	-130- Senior Citizens Trust	-135- Vocational Education	-140- 1982 Chapter Claims Fund	-145- 1982 Scholarship	-155- Business Ind. Dev.	-160- 1986 Chapter Claims Fund	-165- Graduate Scholarship	-170- Tucson Gas & Electric Fund	-175- Veterans Fund	-180- Land Acquisition	-185- Sihasin Fund	FUND TOTALS
<b>Beginning Balance (10/1/18)</b>	<b>62,234,185</b>	<b>12,090,707</b>	<b>2,243,517,084</b>	<b>12,497,504</b>	<b>10,329,799</b>	<b>10,697,318</b>	<b>26,719,951</b>	<b>13,513,645</b>	<b>55</b>	<b>31,977,453</b>	<b>39,226,409</b>	<b>2,970,454</b>	<b>141,636,885</b>	<b>125,072,573</b>	<b>493,473,291</b>	<b>3,225,957,314</b>
Other Rec./Dist.															58,400,000	58,400,000
Interest	1,297,050	158,701	16,582,356	143,748	127,915	131,913	498,679	238,155	1.20	597,766	314,495	20,335	1,430,430	1,310,352	5,536,233	28,388,130
Dividends	529	69,081	18,160,069	69,944	55,182	56,522	54,261	35,314	-	58,896	277,877	24,254	862,635	818,110	4,180,450	24,723,123
Other Income	45,294	6	9,040,716	7	3	4	4	3	-	7	25.40	2.22	88	89	1,358,318	10,444,567
Net Change Accrued Inc.	(61,302)	4,512	1,899,271	4,366	3,960	3,890	5,533	3,600	(0.03)	5,897	13,585	866	27,753	16,630	448,788	2,377,349
Unrealized Gain/Loss	1,435,899	(183,170)	(82,989,183)	(184,295)	(132,839)	(127,247)	507,450	197,469	1.78	661,465	(1,301,466)	(125,308)	(3,166,303)	(3,659,482)	(12,489,712)	(101,556,721)
Realized Gain/Loss	32,713	201,300	24,773,864	195,216	174,727	168,442	177,353	103,590	-	181,149	901,087	68,785	2,458,955	2,590,435	4,541,739	36,569,355
Fees and Expenses	(13,582)	(34)	(531,097)	(27)	(25)	(24)	(23)	(15)	-	(41)	(112)	(10)	(346)	(388)	(63,275)	(608,999)
Amortization	(123,983)	(6,821)	(387,946)	(6,044)	(5,341)	(5,569)	(24,975)	(11,098)	(0.13)	(30,251)	(13,673)	(957)	(78,331)	(80,264)	(151,909)	(927,163)
<b>Total Investment Change</b>	<b>2,612,618</b>	<b>243,575</b>	<b>(13,451,951)</b>	<b>222,914</b>	<b>223,582</b>	<b>227,932</b>	<b>1,218,282</b>	<b>567,018</b>	<b>2.82</b>	<b>1,474,888</b>	<b>191,817</b>	<b>(12,033)</b>	<b>1,534,881</b>	<b>995,482</b>	<b>3,360,633</b>	<b>(590,357)</b>
<b>Ending Balance (5/31/19)</b>	<b>64,846,803</b>	<b>12,334,282</b>	<b>2,230,065,133</b>	<b>12,720,418</b>	<b>10,553,381</b>	<b>10,925,250</b>	<b>27,938,233</b>	<b>14,080,663</b>	<b>58</b>	<b>33,452,341</b>	<b>39,418,227</b>	<b>2,958,421</b>	<b>143,171,766</b>	<b>126,068,055</b>	<b>555,233,924</b>	<b>3,283,766,957</b>
Allocation (%)	1.97%	0.38%	67.91%	0.39%	0.32%	0.33%	0.85%	0.43%	0.00%	1.02%	1.20%	0.09%	4.36%	3.84%	16.91%	100.00%
FYTD Total Return	4.20%	2.01%	-0.60%	1.78%	2.16%	2.13%	4.56%	4.20%	5.13%	4.61%	0.49%	-0.41%	1.08%	0.80%	0.68%	-0.02%

<b>Gaming**</b>																
<b>Beginning Balance (10/01/18)</b>			<b>145,115,563</b>	<b>1,209,891</b>	<b>1,038,486</b>	<b>1,208,828</b>	<b>3,468,843</b>	<b>1,563,291</b>		<b>4,496,310</b>	<b>3,264,253</b>	<b>181,152</b>	<b>5,160,102</b>	<b>36,277,577</b>		<b>202,984,296</b>
Interest			6,784,153	56,551	48,538	56,501	164,719	72,161		207,562	153,589	8,357	241,207	1,699,559		9,492,897
<b>Ending Balance (6/30/19)</b>			<b>141,395,975</b>	<b>1,178,885</b>	<b>1,011,874</b>	<b>1,177,850</b>	<b>3,378,531</b>	<b>1,523,727</b>		<b>4,382,509</b>	<b>3,180,043</b>	<b>176,570</b>	<b>5,027,854</b>	<b>35,345,750</b>		<b>197,779,569</b>
Allocation (%)			71.49%	0.60%	0.51%	0.60%	1.71%	0.77%		2.22%	1.61%	0.09%	2.54%	17.87%		100.00%

<b>RETIREMENT</b>										Aggregate Total
<b>Description</b>	<b>FY 2019 Beginning Balance</b>	<b>10/31/2018</b>	<b>11/30/2018</b>	<b>12/31/2018</b>	<b>1/31/2019</b>	<b>2/28/2019</b>	<b>3/31/2019</b>	<b>4/30/2019</b>	<b>5/31/2019</b>	
UST SECURITIES		168,850,751	166,979,663	171,301,934	170,559,031	170,556,721	173,246,190	172,646,785	172,936,821	
COMMON STOCKS		512,951,648	519,553,244	473,179,182	518,294,335	535,307,575	538,224,462	559,907,021	525,959,696	
CORPORATE BONDS		78,143,586	78,229,991	78,268,633	80,569,602	79,573,029	80,602,185	85,981,076	85,096,657	
OTHER SEC (partnership)										
MUNICIPAL BONDS		694,309	689,252	675,526	696,840	690,721	710,961	689,880	738,780	
INTERNATIONAL (Partnership)		91,700,190	91,700,190	91,700,190	93,352,853	93,352,853	93,352,853	95,005,037	95,005,037	
CASH EQUIVALENTS		20,005,442	27,141,014	24,028,235	27,355,536	25,662,837	28,902,515	22,924,622	24,083,109	
INTEREST RECEIVABLE		1,606,370	1,668,980	1,636,018	1,650,463	1,940,846	1,684,753	1,675,402	1,739,174	
<b>Account Balance</b>	<b>921,823,137</b>	<b>873,952,296</b>	<b>885,962,334</b>	<b>840,789,719</b>	<b>892,478,660</b>	<b>907,084,582</b>	<b>916,723,919</b>	<b>938,829,824</b>	<b>905,559,274</b>	
FYTD Total Return***		-5.19%	-3.89%	-8.79%	-3.18%	-1.60%	-0.55%	1.84%	-1.76%	

\*Performance shown is Net of fees.  
 \*\*Gaming data separated from the Master Trust; Interest paid at Quarter End, pursuant to Gaming Refinance.  
 \*\*\*Simple Total Return ((Overall End. Bal. / Overall Bgn. Bal.) - 1); May vary due to calculation method and/or rounding of numbers