



THE NAVAJO NATION  
 General Fund Revenue Schedule  
 (Unaudited)  
 December 31, 2019

FY 2020

<b>GENERAL FUND REVENUE</b>	<b>Original Budget</b>	<b>Revised Budget</b>	<b>Actual Revenue Received</b>	<b>Revenue to be collected</b>	<b>% Revenue of Total</b>
TNN: ROYAL; GAS; OIL	\$ 27,886,000	\$ 27,886,000	\$ 6,234,107	\$ 21,651,893	22.36
TNN: COAL REVENUES	28,423,000	28,423,000	6,918,415	21,504,585	24.34
TNN:OTR MINERALS REV			1,687	(1,687)	
TNN: LAND REVENUES	64,445,000	64,445,000	44,811,884	19,633,116	69.54
TNN: BUSINESS FEES			24,232	(24,232)	
TNN: INTEREST INCOME	15,000,000	15,000,000	6,209,208	8,790,792	41.39
TNN: TAX REVENUES	58,117,000	58,117,000	27,035,666	31,081,334	46.52
COURT FINES + FEES	500,000	500,000	154,585	345,415	30.92
TNN: OTHER REVENUES	500,000	500,000	7,495,675	(6,995,675)	1499.13
BIA: ROYAL; GAS; OIL			82,727	(82,727)	
BIA: COAL REVENUES				-	
BIA:OTR MINERALS REV				-	
BIA: LAND REVENUES			407	(407)	
<b>TOTAL REVENUE</b>	<b>\$ 194,871,000</b>	<b>\$ 194,871,000</b>	<b>98,968,593</b>	<b>(1) \$ 95,902,407</b>	<b>50.79</b>
<b>LESS:SET ASIDES</b>					
CAPITAL OUTLAY MATCH	\$ (2,000,000)	\$ (2,000,000)	(2,000,000)	\$ -	100.00
LAND FUND TRANSFER	(3,897,000)	(3,897,000)	(1,979,372)	(1,917,628)	50.79
PERMANENT FUND TRNSF	(23,385,000)	(23,385,000)	(11,876,231)	(11,508,769)	50.79
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000)	(2,000,000)	-	100.00
DINE' HIGHER EDUCATIO	(12,400,000)	(12,400,000)	(12,400,000)	-	100.00
VETERANS TRUST FUND S	(7,795,000)	(7,795,000)	(3,958,744)	(3,836,256)	50.79
<b>TOTAL SET ASIDE</b>	<b>\$ (51,477,000)</b>	<b>\$ (51,477,000)</b>	<b>\$ (34,214,347)</b>	<b>(2) \$ (17,262,653)</b>	<b>66.47</b>
<b>SUB TOTAL</b>	<b>\$ 143,394,000</b>	<b>\$ 143,394,000</b>	<b>\$ 64,754,246</b>	<b>(3) \$ 78,639,754</b>	<b>45.16</b>
<b>PERMANENT FUND INCOME TRANSFER</b>					
OTHER REVENUE TRANSFER	\$ 19,170,000	\$ 19,170,000	\$ 19,170,000	(4) \$ -	100.00
<b>TOTAL PFI TRANSFER</b>	<b>\$ 19,170,000</b>	<b>\$ 19,170,000</b>	<b>\$ 19,170,000</b>	<b>\$ -</b>	<b>100.00</b>
<b>NET PFI TRANSFER</b>	<b>\$ 19,170,000</b>	<b>\$ 19,170,000</b>	<b>\$ 19,170,000</b>	<b>\$ -</b>	<b>\$ 100</b>
<b>GRAND TOTAL</b>	<b>\$ 162,564,000</b>	<b>\$ 162,564,000</b>	<b>\$ 83,924,246</b>	<b>(5) \$ 78,639,754</b>	<b>51.63</b>

- (1) Gross General Fund Revenues
- (2) Total Set Asides for General Fund Revenue
- (3) Net General Fund Revenue
- (4) Permanent Fund Income allocation to General Fund
- (5) Grand total General Fund Revenues

**EXHIBIT "A"**



The Navajo Nation  
Budget Status\_Income Statement  
As of December 31, 2019

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
<b>LEGISLATIVE BRANCH</b>						
2001 - Personnel Expenses	\$ 12,604,040	12,961,059	2,488,152		\$ 10,472,906	80.80
3000 - Travel Expenses	1,301,062	1,338,688	443,308	33,299	862,080	64.40
3500 - Meeting Expenses	195,757	178,757	16,922	-	161,835	90.53
4000 - Supplies	301,050	385,554	51,552	7,775	326,227	84.61
5000 - Lease & Rental	196,524	213,599	12,303	87,765	113,530	53.15
5500 - Communications & Utilities	132,047	132,047	16,055	830	115,162	87.21
6000 - Repairs & Maintenance	141,253	292,386	17,204	3,012	272,171	93.09
6500 - Contractual Services	1,404,122	1,877,737	186,864	860,488	830,385	44.22
7000 - Special Transactions	433,687	464,176	106,400	26,214	331,562	71.43
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	124,291	31,627	-	-	31,627	100.00
9500 - Matching & Indirect Cost	-	-	-	-	-	-
<b>Total LEGISLATIVE BRANCH</b>	<b>\$ 16,833,833</b>	<b>\$ 17,875,630</b>	<b>\$ 3,338,761</b>	<b>(1a) \$ 1,019,382</b>	<b>(1b) \$ 13,517,487</b>	<b>(1c) 75.62</b>
<b>EXECUTIVE BRANCH</b>						
2001 - Personnel Expenses	\$ 88,287,472	89,082,097	17,903,765	-	\$ 71,178,332	79.90
3000 - Travel Expenses	9,378,954	9,295,099	1,969,879	7,165	7,318,055	78.73
3500 - Meeting Expenses	509,602	499,682	51,211	-	448,471	89.75
4000 - Supplies	4,523,263	4,969,735	756,611	206,849	4,006,275	80.61
5000 - Lease & Rental	1,210,135	1,238,336	261,460	186,293	790,583	63.84
5500 - Communications & Utilities	1,742,084	1,904,894	211,979	131,077	1,561,838	81.99
6000 - Repairs & Maintenance	1,843,554	2,703,486	416,307	477,873	1,809,306	66.92
6500 - Contractual Services	4,771,332	7,434,268	613,389	2,325,709	4,495,170	60.47
7000 - Special Transactions	2,849,072	3,000,628	526,813	201,137	2,272,678	75.74
8000 - Assistance	34,262,212	38,236,958	24,726,855	62,609	13,447,494	35.17
9000 - Capital Outlay	3,889,727	4,498,557	349,519	1,098,475	3,050,563	67.81
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	-	-	243,422	-	(243,422)	#DIV/0!
<b>Total EXECUTIVE BRANCH</b>	<b>\$ 153,267,407</b>	<b>\$ 162,863,740</b>	<b>\$ 48,031,210</b>	<b>(2a) \$ 4,697,187</b>	<b>(2b) \$ 110,135,343</b>	<b>(2c) 67.62</b>
<b>JUDICIAL BRANCH</b>						
2001 - Personnel Expenses	\$ 13,942,037	13,942,037	2,718,275	-	\$ 11,223,762	80.50
3000 - Travel Expenses	434,215	435,113	55,615	-	379,498	87.22
3500 - Meeting Expenses	20,800	20,800	1,596	-	19,204	92.33
4000 - Supplies	271,564	270,501	47,318	6,452	216,731	80.12
5000 - Lease & Rental	15,881	16,181	1,646	-	14,535	89.83
5500 - Communications & Utilities	79,294	79,294	5,796	-	73,498	92.69
6000 - Repairs & Maintenance	39,817	42,327	3,634	192	38,501	90.96
6500 - Contractual Services	9,587	9,587	445	-	9,142	95.36
7000 - Special Transactions	261,562	258,917	76,582	-	182,336	70.42
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	-	-	-	-	-	#DIV/0!
9300 - Other Income and Expense	-	-	-	-	-	-
<b>Total JUDICIAL BRANCH</b>	<b>\$ 15,074,757</b>	<b>\$ 15,074,757</b>	<b>\$ 2,910,907</b>	<b>(3a) \$ 6,644</b>	<b>(3b) \$ 12,157,207</b>	<b>(3c) 80.65</b>
<b>FIXED COST</b>						
2001 - Personnel Expenses	\$ -	-	-	-	\$ -	-
3000 - Travel Expenses	382,789	382,789	55,069	-	327,720	85.61
3500 - Meeting Expenses	-	-	-	-	-	-
4000 - Supplies	236,045	244,045	2,330	-	241,715	99.05
5000 - Lease & Rental	162,884	169,217	7,291	14,116	147,811	87.35
5500 - Communications & Utilities	5,969,951	5,846,269	1,005,731	329,523	4,511,015	77.16
6000 - Repairs & Maintenance	2,620,102	3,352,288	490,683	618,980	2,242,625	66.90
6500 - Contractual Services	2,747,050	5,362,952	298,419	3,811,901	1,252,632	23.36
7000 - Special Transactions	5,469,752	5,469,752	247,328	-	5,222,424	95.48
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	69,168	374,572	153,414	151,990	69,168	18.47
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	3,800,000	3,800,000	1,666,759	-	2,133,241	56.14
<b>Total FIXED COST</b>	<b>\$ 21,457,741</b>	<b>\$ 25,001,884</b>	<b>\$ 3,927,024</b>	<b>(4a) \$ 4,926,509</b>	<b>(4b) \$ 16,148,351</b>	<b>(4c) 64.59</b>
<b>GRAND TOTAL:</b>	<b>\$ 206,633,738</b>	<b>\$ 220,816,011</b>	<b>\$ 58,207,901</b>	<b>(5a) \$ 10,649,723</b>	<b>(5b) \$ 151,958,387</b>	<b>(5c) 68.82</b>

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Fixed Cost

- (4a) Fixed Cost Expenses
- (4b) Fixed Cost Encumbrances
- (4c) Fixed Cost Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Total General Fund and Fixed Cost

- (5a) General Fund and Fixed Cost Expenses
- (5b) General Fund and Fixed Cost Encumbrances
- (5c) General Fund and Fixed Cost Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available



FY 2020  
EXHIBIT "C"

Undesignated, Unreserved, Fund Balance (UUFB)  
January 24, 2020

09-30-19 UUFB balance <u>(Un-Audited)</u>	\$	16,960,422
<b>Less Supplementals:</b>		
CO-32-19 - DALTCS		143,217
CD-53-19- Transmission Costs		<u>1,900,694</u>
Total Supplementals		<u>2,043,911</u>
<b>UUFB -Unaudited 1/24/20</b>	\$	<u><u>14,916,511</u></u>



THE NAVAJO NATION  
Active(Non-PEC) Federal Fund Report (Unaudited)  
November 30, 2019

**FY 2020**  
**EXHIBIT "D"**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	418,461	228,912	1,050	188,499
JUDICIAL BRANCH	1,080,159	120,396	-	959,763
OFFICE OF ATTORNEY GENERAL	564,127	-	-	564,127
DIV. OF COMMUNITY DEVELOPMENT	10,770,962	8,512,361	1	2,258,600
DEPT OF DINE EDUCATION	30,291,864	15,505,160	1,753,287	13,033,417
DIV. OF ECONOMIC DEVELOPMENT	154,016	-	-	154,016
ENV. PROTECTION AGENCY	14,656,884	7,664,068	129,318	6,863,499
DIVISION OF GENERAL SERVICES	10,755,271	3,367,130	40,711	7,347,430
DEPARTMENT OF HEALTH	55,548,887	18,727,183	877,228	35,944,476
DIVISION OF HUMAN RESOURCES	46,909,382	25,654,539	1,278,862	19,975,981
DIVISION OF NATURAL RESOURCES	46,514,396	33,583,180	294,692	12,636,524
DIVISION OF PUBLIC SAFETY	24,013,495	16,704,561	333,099	6,975,834
DIVISION OF SOCIAL SERVICES	264,183,960	105,678,369	6,241,227	152,264,364
DIVISION OF TRANSPORTATION	251,386,869	67,252,435	66,961,596	117,172,838
<b>Total ALL DIVISIONS</b>	<b><u>757,248,733</u></b>	<b><u>302,998,293</u></b>	<b><u>77,911,071</u></b>	<b><u>376,339,369</u></b>



THE NAVAJO NATION  
Active(Non-PEC) State Fund Report (Unaudited)  
November 30, 2019

**FY 2020**  
**EXHIBIT "E"**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	292,600	104,542	799	187,259
DIV. OF COMMUNITY DEVELOPMENT	31,715,026	1,616,958	3,915,822	26,182,246
DEPT OF DINE EDUCATION	3,055,000	1,000,902	687,340	1,366,758
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	-	-	-	-
DIVISION OF GENERAL SERVICES	2,254,943	1,041,909	110,839	1,102,195
DEPARTMENT OF HEALTH	7,449,944	2,050,609	397,869	5,001,466
DIVISION OF HUMAN RESOURCES	-	-	-	-
DIVISION OF NATURAL RESOURCES	-	-	-	-
DIVISION OF PUBLIC SAFETY	40,000	-	-	40,000
DIVISION OF SOCIAL SERVICES	10,868,200	4,258,153	16,601	6,593,446
DIVISION OF TRANSPORTATION	6,392,717	917,708	2,095,824	3,379,185
<b>Total ALL DIVISIONS</b>	<b><u>62,068,431</u></b>	<b><u>10,990,781</u></b>	<b><u>7,225,094</u></b>	<b><u>43,852,555</u></b>



THE NAVAJO NATION  
 Active(Non-PEC) BIA-IHS Report (Unaudited)  
 November 30, 2019

**FY 2020**  
**EXHIBIT "F"**

**Active (Non-PEC) BIA-IHS**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
DEPARTMENT OF HEALTH	189,218,485	81,537,139	1,618,461.52	106,062,885
DIVISION OF PUBLIC SAFETY	44,817,062	26,636,778.59	24,107.53	18,156,176
DIVISION OF SOCIAL SERVICES	5,229,578	2,535,010.50	21,945.04	2,672,623
<b>FED 638 CONTRACT (DHHS - IHS)</b>	<b><u>239,265,125</u></b>	<b><u>110,708,928</u></b>	<b><u>1,664,514</u></b>	<b><u>126,891,683</u></b>
JUDICIAL BRANCH	6,131,468	4,447,110.77	94,505.65	1,589,852
OFFICE OF ATTORNEY GENERAL	537,432	-	-	537,432
OFFICE OF MANAGEMENT & BUDGET	129,036,958	111,507,427.57	-	17,529,530
OFFICE OF THE CONTROLLER	-	-	-	-
DIV.OF COMMUNITY DEVELOPMENT	2,912,499	1,540,187.57	5,372.32	1,366,939
DEPT OF DINE EDUCATION	55,049,681	4,102,990.10	6,005,146.82	44,941,544
DIVISION OF HUMAN RESOURCES	4,652,314	1,663,296.36	5,632.13	2,983,386
DIVISION OF NATURAL RESOURCES	160,977,516	49,865,208.27	27,435,368.12	83,676,940
DIVISION OF PUBLIC SAFETY	87,056,935	48,530,101.60	463,317.28	38,063,516
DIVISION OF SOCIAL SERVICES	15,866,075	4,041,011.21	12,956.59	11,812,107
DIVISION OF TRANSPORTATION	2,199,092	1,830,264.15	252,101.08	116,726
<b>FED 638 CONTRACT (DOI - BIA)</b>	<b><u>464,419,970</u></b>	<b><u>227,527,598</u></b>	<b><u>34,274,400</u></b>	<b><u>202,617,972</u></b>
<b>Total ALL DIVISIONS</b>	<b><u>703,685,095</u></b>	<b><u>338,236,526</u></b>	<b><u>35,938,914</u></b>	<b><u>329,509,655</u></b>



Master Trust and Retirement Performance  
November 30, 2019  
\*\*Unaudited\*\*

FY 2020  
EXHIBIT "G"

MASTER TRUST*																
	-100- General Fund	-115- Workers Comp	-120- Permanent Trust	-125- Handicapped Trust	-130- Senior Citizens Trust	-135- Vocational Education	-140- 1982 Chapter Claims Fund	-145- 1982 Scholarship	-155- Business Ind. Dev.	-160- 1986 Chapter Claims Fund	-165- Graduate Scholarship	-170- Tucson Gas & Electric Fund	-175- Veterans Fund	-180- Land Acquisition	-185- Sihasin Fund	FUND TOTALS
<b>Beginning Balance (10/1/19)</b>	<b>66,037,875</b>	<b>12,951,547</b>	<b>2,348,084,829</b>	<b>13,407,462</b>	<b>11,094,515</b>	<b>11,494,332</b>	<b>29,077,496</b>	<b>14,695,758</b>	<b>59</b>	<b>34,815,851</b>	<b>41,682,520</b>	<b>3,134,000</b>	<b>150,768,311</b>	<b>132,230,835</b>	<b>579,619,979</b>	<b>3,449,095,369</b>
Other Rec./Dist.															(15,000,000)	(15,000,000)
Interest	413,907	36,022	2,746,578	32,442	28,821	29,790	119,140	55,791	0.34	143,582	71,786	4,821	354,524	342,042	933,662	5,312,908
Dividends	83	9,564	2,873,581	9,615	8,591	8,262	7,067	4,707	-	7,199	41,758	3,329	124,825	120,271	627,205	3,846,058
Other Income	13,445	2	3,349,883	2	1	1	1	1	-	2	7.54	0.66	26	26	626,743	3,990,141
Net Change Accrued Inc.	(95,195)	4,735	366,709	4,907	4,426	4,409	7,055	4,558	(0.04)	7,681	13,284	660	19,164	(1,279)	101,349	442,463
Unrealized Gain/Loss	(105,082)	184,553	58,801,955	242,668	164,483	180,070	78,650	77,727	(0.15)	102,016	963,798	86,866	3,134,644	2,446,875	10,260,372	76,619,594
Realized Gain/Loss	31,765	111,913	14,741,678	125,036	113,671	109,482	145,715	79,214	0.05	160,844	591,655	39,649	1,547,885	1,502,931	2,949,233	22,250,670
Fees and Expenses	(4,032)	(1)	(270,960)	(1)	(0)	(0)	(0)	(0)	-	(0)	(2)	(0)	(8)	(8)	(50,907)	(325,919)
Amortization	1,375	(68)	(4,476)	(65)	(59)	(59)	(117)	(73)	-	(130)	(126)	(5)	(128)	157	(1,616)	(5,391)
<b>Total Investment Change</b>	<b>256,265</b>	<b>346,719</b>	<b>82,604,948</b>	<b>414,605</b>	<b>319,935</b>	<b>331,955</b>	<b>357,511</b>	<b>221,924</b>	<b>0.20</b>	<b>421,194</b>	<b>1,682,160</b>	<b>135,320</b>	<b>5,180,931</b>	<b>4,411,015</b>	<b>15,446,041</b>	<b>112,130,524</b>
<b>Ending Balance (11/30/19)</b>	<b>66,294,141</b>	<b>13,298,265</b>	<b>2,430,689,778</b>	<b>13,822,067</b>	<b>11,414,450</b>	<b>11,826,287</b>	<b>29,435,007</b>	<b>14,917,682</b>	<b>59</b>	<b>35,237,045</b>	<b>43,364,679</b>	<b>3,269,320</b>	<b>155,949,243</b>	<b>136,641,851</b>	<b>580,066,020</b>	<b>3,546,225,893</b>
Allocation (%)	1.87%	0.37%	68.54%	0.39%	0.32%	0.33%	0.83%	0.42%	0.00%	0.99%	1.22%	0.09%	4.40%	3.85%	16.36%	100.00%
FYTD Total Return	0.39%	2.68%	3.52%	3.09%	2.88%	2.89%	1.23%	1.51%	0.34%	1.21%	4.04%	4.32%	3.44%	3.34%	2.66%	3.25%

Gaming**																
<b>Beginning Balance (10/1/19)</b>			<b>140,156,113</b>	<b>1,168,550</b>	<b>1,003,003</b>	<b>1,167,524</b>	<b>3,348,428</b>	<b>1,510,539</b>		<b>4,344,576</b>	<b>3,151,974</b>	<b>175,042</b>	<b>4,983,771</b>	<b>35,035,141</b>		<b>196,044,660</b>
Interest																
<b>Ending Balance (11/30/19)</b>			<b>140,156,113</b>	<b>1,168,550</b>	<b>1,003,003</b>	<b>1,167,524</b>	<b>3,348,428</b>	<b>1,510,539</b>	<b>-</b>	<b>4,344,576</b>	<b>3,151,974</b>	<b>175,042</b>	<b>4,983,771</b>	<b>35,035,141</b>		<b>196,044,660</b>
Allocation (%)			71.49%	0.60%	0.51%	0.60%	1.71%	0.77%		2.22%	1.61%	0.09%	2.54%	17.87%		100.00%

Aggregate MT Total 3,742,270,553

RETIREMENT			
FY 2020			
Description	Beginning Balance	10/31/2019	11/30/2019
UST SECURITIES		178,311,176	180,028,971
COMMON STOCKS		563,750,209	583,422,871
CORPORATE BONDS		86,546,694	83,105,066
OTHER SEC (partnership)			
MUNICIPAL BONDS		795,374	784,356
INTERNATIONAL (Partnership)		97,535,445	97,535,445
CASH EQUIVALENTS		25,901,606	28,962,205
INTEREST RECEIVABLE		1,662,545	1,776,565
<b>Account Balance</b>	<b>941,965,959</b>	<b>954,503,049</b>	<b>975,615,479</b>
FYTD Total Return***		1.33%	3.57%

\*Performance shown is Net of fees.  
 \*\*Gaming data separated from the Master Trust; Interest paid at Quarter End, pursuant to Gaming Refinance.  
 \*\*\*Simple Total Return ((Overall End. Bal. / Overall Bgn. Bal.) - 1); May vary due to calculation method and/or rounding of numbers