



THE NAVAJO NATION
General Fund Revenue Schedule
(Unaudited)
December 31, 2018

FY 2019

GENERAL FUND REVENUE	Original Budget	Revised Budget	Actual Revenue Received	Revenue to be collected	% Revenue of Total
TNN: ROYAL; GAS; OIL	\$ 25,378,000	\$ 25,378,000	\$ 7,339,931	\$ 18,038,069	28.92
TNN: COAL REVENUES	45,381,000	45,381,000	15,743,532	29,637,468	34.69
TNN:OTR MINERALS REV			152,931	(152,931)	
TNN: LAND REVENUES	62,644,000	62,644,000	40,275,451	22,368,549	64.29
TNN: BUSINESS FEES			16,272	(16,272)	
TNN: INTEREST INCOME	2,500,000	2,500,000	4,531,483	(2,031,483)	181.26
TNN: TAX REVENUES	62,965,000	62,965,000	23,522,597	39,442,403	37.36
COURT FINES + FEES	400,000	400,000	136,417	263,583	34.10
TNN: OTHER REVENUES	750,000	750,000	3,319,546	(2,569,546)	442.61
BIA: ROYAL; GAS; OIL			166,611	(166,611)	
BIA: COAL REVENUES			33	(33)	
BIA:OTR MINERALS REV				-	
BIA: LAND REVENUES			16,550	(16,550)	
TOTAL REVENUE	\$ 200,018,000	\$ 200,018,000	95,221,354	(1) \$ 104,796,646	47.61
LESS:SET ASIDES					
CAPITAL OUTLAY MATCH	\$ (2,000,000)	\$ (2,000,000)	(2,000,000)	\$ -	100.00
LAND FUND TRANSFER	(4,115,000)	(4,115,000)	(1,904,427)	(2,210,573)	46.28
PERMANENT FUND TRNSF	(24,692,000)	(24,692,000)	(11,426,563)	(13,265,437)	46.28
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000)	(2,000,000)	-	100.00
DINE' HIGHER EDUCATIO	(12,400,000)	(12,400,000)	(12,400,000)	-	100.00
VETERANS TRUST FUND S	(8,231,000)	(8,231,000)	(3,808,854)	(4,422,146)	46.27
TOTAL SET ASIDE	\$ (53,438,000)	\$ (53,438,000)	\$ (33,539,844)	(2) \$ (19,898,156)	62.76
SUB TOTAL	\$ 146,580,000	\$ 146,580,000	\$ 61,681,511	(3) \$ 84,898,489	42.08
PERMANENT FUND INCOME TRANSFER					
OTHER REVENUE TRANSFER	\$ 19,828,000	\$ 19,828,000	\$ 19,828,000	(4) \$ -	100.00
TOTAL PFI TRANSFER	\$ 19,828,000	\$ 19,828,000	\$ 19,828,000	\$ -	100.00
NET PFI TRANSFER	\$ 19,828,000	\$ 19,828,000	\$ 19,828,000	\$ -	\$ 100
GRAND TOTAL	\$ 166,408,000	\$ 166,408,000	\$ 81,509,511	(5) \$ 84,898,489	48.98

- (1) Gross General Fund Revenues
- (2) Total Set Asides for General Fund Revenue
- (3) Net General Fund Revenue
- (4) Permanent Fund Income allocation to General Fund
- (5) Grand total General Fund Revenues



The Navajo Nation
Budget Status_Income Statement
As of December 31, 2018

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
LEGISLATIVE BRANCH						
2001 - Personnel Expenses	\$ 9,665,430	11,282,197	2,449,597		\$ 8,832,601	78.29
3000 - Travel Expenses	1,373,560	1,429,233	314,910	36,000	1,078,323	75.45
3500 - Meeting Expenses	198,239	325,092	85,928	-	239,164	73.57
4000 - Supplies	295,751	431,671	100,265	1,892	329,514	76.33
5000 - Lease & Rental	224,045	1,196,244	43,117	20,866	1,132,261	94.65
5500 - Communications & Utilities	128,747	142,842	12,468	-	130,374	91.27
6000 - Repairs & Maintenance	110,860	136,844	11,524	799	124,521	90.99
6500 - Contractual Services	502,488	2,086,368	173,501	713,016	1,199,851	57.51
7000 - Special Transactions	675,583	637,890	90,552	4,447	542,891	85.11
9000 - Capital Outlay	125,857	411,686	131,943	-	279,743	67.95
Total LEGISLATIVE BRANCH	\$ 13,300,560	\$ 18,080,067	\$ 3,413,805	(1a) \$ 777,021	(1b) \$ 13,889,242	(1c) 76.82
EXECUTIVE BRANCH						
2001 - Personnel Expenses	\$ 84,756,288	85,983,988	17,784,747	-	\$ 68,199,241	79.32
3000 - Travel Expenses	8,659,383	8,820,215	1,962,014	-	6,858,201	77.76
3500 - Meeting Expenses	492,193	498,271	34,372	-	463,899	93.10
4000 - Supplies	4,094,579	4,972,405	613,641	737,462	3,621,302	72.83
5000 - Lease & Rental	1,274,315	1,302,170	437,610	272,927	591,633	45.43
5500 - Communications & Utilities	1,828,070	1,931,167	262,423	37,241	1,631,503	84.48
6000 - Repairs & Maintenance	2,753,122	4,489,998	798,487	523,725	3,167,787	70.55
6500 - Contractual Services	3,161,997	9,015,175	1,890,174	2,627,611	4,497,391	49.89
7000 - Special Transactions	4,621,749	6,494,593	1,077,289	125,254	5,292,051	81.48
8000 - Assistance	35,500,662	39,422,653	23,787,141	429,392	15,206,119	38.57
9000 - Capital Outlay	1,835,731	4,057,517	1,048,751	647,605	2,361,160	58.19
Total EXECUTIVE BRANCH	\$ 148,978,089	\$ 166,988,151	\$ 49,696,647	(2a) \$ 5,401,217	(2b) \$ 111,890,287	(2c) 67.00
JUDICIAL BRANCH						
2001 - Personnel Expenses	\$ 13,453,992	13,453,992	2,788,503	-	\$ 10,665,489	79.27
3000 - Travel Expenses	432,013	507,263	37,954	-	469,309	92.52
3500 - Meeting Expenses	15,613	19,613	3,765	-	15,848	80.80
4000 - Supplies	250,963	394,203	24,889	-	369,314	93.69
5000 - Lease & Rental	11,070	18,872	872	-	18,000	95.38
5500 - Communications & Utilities	66,194	85,848	10,965	-	74,883	87.23
6000 - Repairs & Maintenance	52,902	84,702	(1,136)	-	85,838	101.34
6500 - Contractual Services	11,000	12,000	445	-	11,555	96.29
7000 - Special Transactions	223,679	278,279	72,326	-	205,953	74.01
9000 - Capital Outlay	-	52,877	-	22,876.91	30,000	56.74
Total JUDICIAL BRANCH	\$ 14,517,426	\$ 14,907,649	\$ 2,938,581	(3a) \$ 22,877	(3b) \$ 11,946,190	(3c) 80.13
FIXED COST						
3000 - Travel Expenses	\$ 351,046	351,046	52,899	-	\$ 298,147	84.93
4000 - Supplies	326,083	443,185	19,709	21,315	402,162	90.74
5000 - Lease & Rental	171,400	349,084	6,038	13,692	329,354	94.35
5500 - Communications & Utilities	5,500,725	6,362,536	994,657	486,323	4,881,556	76.72
6000 - Repairs & Maintenance	2,058,257	3,455,917	642,505	742,290	2,071,122	59.93
6500 - Contractual Services	3,106,961	5,067,873	162,569	2,108,444	2,796,860	55.19
7000 - Special Transactions	9,019,504	9,024,168	4,258,117	-	4,766,051	52.81
9000 - Capital Outlay	69,168	1,181,516	-	1,084,258	97,258	8.23
9500 - Matching & Indirect Cost	4,400,000	4,400,000	1,092,824	-	3,307,176	75.16
Total FIXED COST	25,003,144	30,635,325	7,229,318	(4a) 4,456,322	(4b) \$ 18,949,686	(4c) 61.86
GRAND TOTAL:	\$ 201,799,219	\$ 230,611,192	\$ 63,278,351	(5a) \$ 10,657,436	(5b) \$ 156,675,405	(5c) 67.94

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Fixed Cost

- (4a) Fixed Cost Expenses
- (4b) Fixed Cost Encumbrances
- (4c) Fixed Cost Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Total General Fund and Fixed Cost

- (5a) General Fund and Fixed Cost Expenses
- (5b) General Fund and Fixed Cost Encumbrances
- (5c) General Fund and Fixed Cost Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available



Undesignated, Unreserved, Fund Balance (UUFB)
January 25, 2019

09-30-18 UUFB balance (Un-Audited) \$ 47,555

Less Supplementals:

Total Supplementals 0

UUFB -unaudited 01/25/19 \$ 47,555



THE NAVAJO NATION
Active(Non-PEC) Federal Fund Report (Unaudited)
November 30, 2018

FY 2019
EXHIBIT "D"

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH				-
JUDICIAL BRANCH	1,769,451	56,713	244	1,712,495
OFFICE OF THE PRESIDENT AND VICE PRES.				-
DIV. OF COMMUNITY DEVELOPMENT	15,280,887	11,856,560	797,403	2,626,924
DEPT OF DINE EDUCATION	20,666,731	10,440,744	584,577	9,641,409
DIV. OF ECONOMIC DEVELOPMENT	154,016	-	-	154,016
ENV. PROTECTION AGENCY	12,628,150	7,812,051	430,409	4,385,690
DIVISION OF GENERAL SERVICES	3,834,415	2,200,572	930	1,632,913
DEPARTMENT OF HEALTH	36,430,363	8,696,414	796,226	26,937,723
DIVISION OF HUMAN RESOURCES	47,814,410	29,382,124	1,024,543	17,407,743
DIVISION OF NATURAL RESOURCES	44,055,948	31,216,719	896,595	11,942,634
DIVISION OF PUBLIC SAFETY	16,217,160	13,028,750	254,849	2,933,561
DIVISION OF SOCIAL SERVICES	198,859,500	94,323,180	5,842,533	98,693,787
DIVISION OF TRANSPORTATION	230,334,378	57,844,447	56,616,615	115,873,316
Total ALL DIVISIONS	<u>628,045,408</u>	<u>266,858,274</u>	<u>67,244,926</u>	<u>293,942,209</u>

Prepared by:
Contract Accounting
1-10-19



THE NAVAJO NATION
Active(Non-PEC) State Fund Report (Unaudited)
November 30, 2018

FY 2019
EXHIBIT "E"

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	292,600	849	112,318	179,432
DIV. OF COMMUNITY DEVELOPMENT	10,793,100	2,631,349	1,449,276	6,712,475
DEPT OF DINE EDUCATION	1,845,000	255,191	658,810	930,999
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	-	-	-	-
DIVISION OF GENERAL SERVICES	1,257,060	137,320	-	1,119,740
DEPARTMENT OF HEALTH	7,583,239	1,749,246	827,575	5,006,418
DIVISION OF HUMAN RESOURCES	-	-	-	-
DIVISION OF NATURAL RESOURCES	17,930	17,921	-	9
DIVISION OF PUBLIC SAFETY	1,100,361	971,730	-	128,631
DIVISION OF SOCIAL SERVICES	8,560,247	1,649,783	47,946	6,862,518
DIVISION OF TRANSPORTATION	972,531	442,778	198,475	331,278
Total ALL DIVISIONS	<u>32,422,068</u>	<u>7,856,169</u>	<u>3,294,399</u>	<u>21,271,500</u>



THE NAVAJO NATION
 Active(Non-PEC) BIA-IHS Report (Unaudited)
 November 30, 2018

FY 2019
EXHIBIT "F"

Active (Non-PEC) BIA-IHS

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
DEPARTMENT OF HEALTH	151,594,236	83,771,081	1,604,415	66,218,740
DIVISION OF PUBLIC SAFETY	34,558,456	26,636,779	24,108	7,897,570
DIVISION OF SOCIAL SERVICES	4,206,638	2,535,011	21,945	1,649,683
FED 638 CONTRACT (DHHS - IHS)	190,359,330	112,942,870	1,650,468	75,765,992
JUDICIAL BRANCH	5,625,519	4,537,111	94,506	993,903
OFFICE OF MANAGEMENT & BUDGET	125,049,828	111,973,193	-	13,076,635
DIV. OF COMMUNITY DEVELOPMENT	16,400,561	16,400,561	-	-
DEPT OF DINE EDUCATION	3,138,905	1,766,593	5,372	1,366,939
DIV.OF ECONOMIC DEVELOPMENT	63,609,232	14,189,240	6,019,114	43,400,877
DIVISION OF HUMAN RESOURCES	3,251,350	1,012,785	5,632	2,232,933
DIVISION OF NATURAL RESOURCES	171,321,306	73,229,046	27,498,170	70,594,089
DIVISION OF PUBLIC SAFETY	196,569,245	163,726,833	511,592	32,330,820
DIVISION OF SOCIAL SERVICES	31,982,876	19,305,763	4,206,023	8,471,090
DIVISION OF TRANSPORTATION	2,199,092	1,830,264	252,101	116,726
FED 638 CONTRACT (DOI - BIA)	619,147,913	407,971,389	38,592,510	172,584,013
Total ALL DIVISIONS	809,507,243	520,914,259	40,242,978	248,350,006



Master Trust and Retirement Performance
 December 31, 2018
 Unaudited

FY 2019
 EXHIBIT "G"

MASTER TRUST*																	FUND TOTALS
	-100- General Fund	-115- Workers Comp	-120- Permanent Trust	-125- Handicapped Trust	-130- Senior Citizens Trust	-135- Vocational Education	-140- 1982 Chapter Claims Fund	-145- 1982 Scholarship	-155- Business Ind. Dev.	-160- 1986 Chapter Claims Fund	-165- Graduate Scholarship	-170- Tucson Gas & Electric Fund	-175- Veterans Fund	-180- Land Acquisition	-185- Sihasin Fund		
Beginning Balance (10/1/18)	62,234,185	12,090,707	2,243,517,084	12,497,504	10,329,799	10,697,318	26,719,951	13,513,645	54.95	31,977,453	39,226,409	2,970,454	141,636,885	125,072,573	493,473,291	3,225,957,314	
Other Rec./Dist.															58,400,000	58,400,000	
Interest	542,394	58,019	8,761,604	52,507	46,729	48,182	186,317	88,239	0.44	224,195	114,806	7,544	541,802	506,895	2,699,934	13,879,168	
Dividends	100	18,213	6,819,804	20,651	14,719	15,891	15,677	10,215	-	18,386	79,571	7,024	250,204	218,025	1,632,238	9,120,718	
Other Income	12,707	2	2,166,491	2	1	1	1	1	-	2	7.13	0.62	25	25	240,914	2,420,178	
Net Change Accrued Inc.	(76,518)	2,079	131,595	2,024	1,797	1,821	719	1,326	(0.03)	(52)	4,826	191	(3,652)	(17,102)	46,197	95,250	
Unrealized Gain/Loss	428,188	(819,815)	(223,399,443)	(969,286)	(754,714)	(775,142)	(631,228)	(429,333)	0.37	(714,792)	(4,147,583)	(333,889)	(12,223,516)	(10,698,594)	(44,194,206)	(299,663,354)	
Realized Gain/Loss	(59,052)	95,242	9,917,533	95,644	85,115	81,648	57,762	39,392	(0.04)	54,911	445,342	34,977	1,248,841	1,256,396	1,526,718	14,880,469	
Fees and Expenses	(3,810)	(0)	(254,463)	(1)	(0)	(0)	(0)	(0)	-	(1)	(2)	(0)	(7)	(7)	(29,682)	(287,974)	
Amortization	(60,441)	(3,300)	(187,661)	(2,923)	(2,584)	(2,694)	(12,109)	(5,376)	(0.06)	(14,665)	(6,621)	(464)	(37,998)	(38,980)	(73,387)	(449,203)	
Total Investment Change	783,568	(649,562)	(196,044,540)	(801,381)	(608,938)	(630,294)	(382,860)	(295,536)	0.68	(432,016)	(3,509,654)	(284,617)	(10,224,303)	(8,773,342)	(38,151,274)	(260,004,748)	
Ending Balance (12/31/18)	63,017,752	11,441,146	2,047,472,544	11,696,123	9,720,860	10,067,025	26,337,091	13,218,109	55.63	31,545,437	35,716,755	2,685,837	131,412,582	116,299,231	513,722,017	3,024,352,566	
Allocation (%)	2.08%	0.38%	67.70%	0.39%	0.32%	0.33%	0.87%	0.44%	0.00%	1.04%	1.18%	0.09%	4.35%	3.85%	16.99%	100.00%	
FYTD Total Return	1.26%	-5.37%	-8.74%	-6.41%	-5.89%	-5.89%	-1.43%	-2.19%	1.24%	-1.35%	-8.95%	-9.58%	-7.22%	-7.01%	4.10%	-6.25%	

Gaming**																
Beginning Balance (10/01/18)			145,115,563	1,209,891	1,038,486	1,208,828	3,468,843	1,563,291		4,496,310	3,264,253	181,152	5,160,102	36,277,577		202,984,296
Interest			2,305,871	19,221	16,498	19,204	55,986	24,527		70,548	52,204	2,841	81,984	577,664		3,226,548
Ending Balance (12/31/18)			143,875,701	1,199,556	1,029,616	1,198,502	3,438,739	1,550,103		4,458,377	3,236,183	179,624	5,116,019	35,966,968		201,249,387
Allocation (%)			71.49%	0.60%	0.51%	0.60%	1.71%	0.77%		2.22%	1.61%	0.09%	2.54%	17.87%		100.00%

RETIREMENT				
FY 2019				
Description	Beginning Balance	10/31/2018	11/30/2018	12/31/2018
UST SECURITIES		168,850,751	166,979,663	171,301,934
COMMON STOCKS		512,951,648	519,553,244	473,179,182
CORPORATE BONDS		78,143,586	78,229,991	78,268,633
OTHER SEC (partnership)				
MUNICIPAL BONDS		694,309	689,252	675,526
INTERNATIONAL(Partnership)		91,700,190	91,700,190	91,700,190
CASH EQUIVALENTS		20,005,442	27,141,014	24,028,235
INTEREST RECEIVABLE		1,606,370	1,668,980	1,636,018
Account Balance	921,823,137	873,952,296	885,962,334	840,789,719
FYTD Total Return***		-5.19%	-3.89%	-8.79%

*Performance shown is gross of fees.

**Gaming data separated from the Master Trust; Interest paid at Quarter End, pursuant to Gaming Refinance.

***Simple Total Return ((Overall End. Bal. / Overall Bgn. Bal.) - 1)