



THE NAVAJO NATION  
General Fund Revenue Schedule  
(Unaudited)  
March 31, 2019

FY 2019

GENERAL FUND REVENUE	Original Budget	Revised Budget	Actual Revenue Received	Revenue to be collected	% Revenue of Total
TNN: ROYAL; GAS; OIL	\$ 27,153,000	\$ 27,153,000	\$ 13,697,676	\$ 13,455,324	50.45
TNN: COAL REVENUES	51,369,000	51,369,000	28,810,309	22,558,691	56.09
TNN:OTR MINERALS REV			153,556	(153,556)	
TNN: LAND REVENUES	61,929,000	61,929,000	46,025,768	15,903,232	74.32
TNN: BUSINESS FEES			26,417	(26,417)	
TNN: INTEREST INCOME	2,900,000	2,900,000	9,329,476	(6,429,476)	321.71
TNN: TAX REVENUES	61,519,000	61,519,000	30,286,172	31,232,828	49.23
COURT FINES + FEES	400,000	400,000	311,779	88,221	77.94
TNN: OTHER REVENUES	500,000	500,000	3,439,828	(2,939,828)	687.97
BIA: ROYAL; GAS; OIL			245,306	(245,306)	
BIA: COAL REVENUES			40	(40)	
BIA:OTR MINERALS REV				-	
BIA: LAND REVENUES			41,633	(41,633)	
<b>TOTAL REVENUE</b>	<b>\$ 205,770,000</b>	<b>\$ 205,770,000</b>	<b>132,367,959</b>	<b>(1) \$ 73,402,042</b>	<b>64.33</b>
<b>LESS:SET ASIDES</b>					
CAPITAL OUTLAY MATCH	\$ (2,000,000)	\$ (2,000,000)	(2,000,000)	\$ -	100.00
LAND FUND TRANSFER	(4,115,000)	(4,115,000)	(2,647,359)	(1,467,641)	64.33
PERMANENT FUND TRNSF	(24,692,000)	(24,692,000)	(15,884,155)	(8,807,845)	64.33
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000)	(2,000,000)	-	100.00
DINE' HIGHER EDUCATIO	(12,400,000)	(12,400,000)	(12,400,000)	-	100.00
VETERANS TRUST FUND S	(8,231,000)	(8,231,000)	(5,294,718)	(2,936,282)	64.33
<b>TOTAL SET ASIDE</b>	<b>\$ (53,438,000)</b>	<b>\$ (53,438,000)</b>	<b>\$ (40,226,233)</b>	<b>(2) \$ (13,211,767)</b>	<b>75.28</b>
<b>SUB TOTAL</b>	<b>\$ 152,332,000</b>	<b>\$ 152,332,000</b>	<b>\$ 92,141,726</b>	<b>(3) \$ 60,190,274</b>	<b>60.49</b>
<b>PERMANENT FUND INCOME TRANSFER</b>					
OTHER REVENUE TRANSFER	\$ 19,828,000	\$ 19,828,000	\$ 19,828,000	(4) \$ -	100.00
<b>TOTAL PFI TRANSFER</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ -</b>	<b>100.00</b>
<b>NET PFI TRANSFER</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ 19,828,000</b>	<b>\$ -</b>	<b>\$ 100</b>
<b>GRAND TOTAL</b>	<b>\$ 172,160,000</b>	<b>\$ 172,160,000</b>	<b>\$ 111,969,726</b>	<b>(5) \$ 60,190,274</b>	<b>65.04</b>

- (1) Gross General Fund Revenues
- (2) Total Set Asides for General Fund Revenue
- (3) Net General Fund Revenue
- (4) Permanent Fund Income allocation to General Fund
- (5) Grand total General Fund Revenues



The Navajo Nation  
Budget Status\_Income Statement  
As of March 31, 2019

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
<b>LEGISLATIVE BRANCH</b>						
2001 - Personnel Expenses	\$ 9,665,430	12,021,002	5,330,027		\$ 6,690,974	55.66
3000 - Travel Expenses	1,373,560	1,547,618	634,972	61,000	851,646	55.03
3500 - Meeting Expenses	198,239	328,477	131,283	-	197,194	60.03
4000 - Supplies	295,751	602,113	251,183	36,483	314,447	52.22
5000 - Lease & Rental	224,045	508,146	107,147	38,281	362,718	71.38
5500 - Communications & Utilities	128,747	150,927	46,745	-	104,182	69.03
6000 - Repairs & Maintenance	110,860	147,048	45,496	799	100,753	68.52
6500 - Contractual Services	502,488	2,581,402	342,552	694,307	1,544,543	59.83
7000 - Special Transactions	675,583	870,430	247,664	17,297	605,469	69.56
8000 - Assistance	-	-	1,045	-	(1,045)	
9000 - Capital Outlay	125,857	411,218	131,943	-	279,274	67.91
9500 - Matching & Indirect Cost	-	-	-	-	-	
<b>Total LEGISLATIVE BRANCH</b>	<b>\$ 13,300,560</b>	<b>\$ 19,168,380</b>	<b>\$ 7,270,057</b>	<b>(1a) \$ 848,166</b>	<b>(1b) \$ 11,050,157</b>	<b>(1c) 57.65</b>
<b>EXECUTIVE BRANCH</b>						
2001 - Personnel Expenses	\$ 84,756,288	90,914,396	38,524,301	-	\$ 52,390,095	57.63
3000 - Travel Expenses	8,659,383	8,929,814	3,703,210	6,473	5,220,131	58.46
3500 - Meeting Expenses	492,193	521,821	125,337	-	396,484	75.98
4000 - Supplies	4,094,579	6,315,740	1,704,438	869,458	3,741,844	59.25
5000 - Lease & Rental	1,274,315	1,288,857	622,252	178,823	487,782	37.85
5500 - Communications & Utilities	1,828,070	2,025,559	628,070	44,655	1,352,833	66.79
6000 - Repairs & Maintenance	2,753,122	5,118,721	908,637	373,395	3,836,689	74.95
6500 - Contractual Services	3,161,997	9,092,418	2,380,789	2,056,931	4,654,699	51.19
7000 - Special Transactions	4,621,749	6,566,064	3,621,863	148,412	2,795,789	42.58
8000 - Assistance	35,500,662	40,587,789	26,888,392	7,142,523	6,556,873	16.15
9000 - Capital Outlay	1,835,731	5,168,189	1,089,245	698,873	3,380,072	65.40
9300 - Other Income and Expense	-	-	-	-	-	
9500 - Matching & Indirect Cost	-	2,345,806	-	-	2,345,806	100.00
<b>Total EXECUTIVE BRANCH</b>	<b>\$ 148,978,089</b>	<b>\$ 178,875,173</b>	<b>\$ 80,196,533</b>	<b>(2a) \$ 11,519,544</b>	<b>(2b) \$ 87,159,095</b>	<b>(2c) 48.73</b>
<b>JUDICIAL BRANCH</b>						
2001 - Personnel Expenses	\$ 13,453,992	13,453,992	6,090,454	-	\$ 7,363,538	54.73
3000 - Travel Expenses	432,013	518,299	149,446	-	368,852	71.17
3500 - Meeting Expenses	15,613	19,613	4,520	-	15,093	76.95
4000 - Supplies	250,963	386,703	81,501	22,826	282,376	73.02
5000 - Lease & Rental	11,070	19,872	3,495	-	16,377	82.41
5500 - Communications & Utilities	66,194	87,348	24,953	-	62,395	71.43
6000 - Repairs & Maintenance	52,902	64,952	4,565	-	60,388	92.97
6500 - Contractual Services	11,000	9,500	445	-	9,055	95.31
7000 - Special Transactions	223,679	293,067	126,841	2,675	163,552	55.81
8000 - Assistance	-	-	-	-	-	
9000 - Capital Outlay	-	54,303	-	22,877	31,426	57.87
9300 - Other Income and Expense	-	-	-	-	-	
<b>Total JUDICIAL BRANCH</b>	<b>\$ 14,517,426</b>	<b>\$ 14,907,649</b>	<b>\$ 6,486,220</b>	<b>(3a) \$ 48,377</b>	<b>(3b) \$ 8,373,052</b>	<b>(3c) 56.17</b>
<b>FIXED COST</b>						
2001 - Personnel Expenses	-	-	-	-	-	
3000 - Travel Expenses	\$ 351,046	351,046	108,289	-	\$ 242,757	69.15
3500 - Meeting Expenses	-	-	-	-	-	
4000 - Supplies	326,083	443,185	37,654	82,993	322,538	72.78
5000 - Lease & Rental	171,400	349,084	134,891	7,418	206,774	59.23
5500 - Communications & Utilities	5,500,725	6,362,536	2,796,183	380,803	3,185,550	50.07
6000 - Repairs & Maintenance	2,058,257	3,449,742	927,182	518,531	2,004,030	58.09
6500 - Contractual Services	3,106,961	5,094,564	1,153,749	3,038,821	901,994	17.71
7000 - Special Transactions	9,019,504	9,024,168	4,508,928	1,272	4,513,968	50.02
8000 - Assistance	-	-	-	-	-	
9000 - Capital Outlay	69,168	1,161,000	949,949	141,883	69,168	5.96
9300 - Other Income and Expense	-	-	-	-	-	
9500 - Matching & Indirect Cost	4,400,000	4,400,000	3,138,919	-	1,261,081	28.66
<b>Total FIXED COST</b>	<b>\$ 25,003,144</b>	<b>\$ 30,635,325</b>	<b>\$ 13,755,744</b>	<b>(4a) \$ 4,171,720</b>	<b>(4b) \$ 12,707,861</b>	<b>(4c) 41.48</b>
<b>GRAND TOTAL:</b>	<b>\$ 201,799,219</b>	<b>\$ 243,586,527</b>	<b>\$ 107,708,554</b>	<b>(5a) \$ 16,587,808</b>	<b>(5b) \$ 119,290,165</b>	<b>(5c) 48.97</b>

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Fixed Cost

- (4a) Fixed Cost Expenses
- (4b) Fixed Cost Encumbrances
- (4c) Fixed Cost Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Total General Fund and Fixed Cost

- (5a) General Fund and Fixed Cost Expenses
- (5b) General Fund and Fixed Cost Encumbrances
- (5c) General Fund and Fixed Cost Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available



FY 2019  
EXHIBIT "C"

Undesignated, Unreserved, Fund Balance (UUFB)  
April 10, 2019

09-30-18 UUFB balance (Un-Audited) \$ 42,334,700

**Less Supplementals:**

Total Supplementals 0

**UUFB -unaudited 04/10/19** \$ 42,334,700



THE NAVAJO NATION  
Active(Non-PEC) Federal Fund Report (Unaudited)  
February 28, 2019

**FY 2019**  
**EXHIBIT "D"**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH				-
JUDICIAL BRANCH	1,878,990	82,553	-	1,796,437
OFFICE OF THE PRESIDENT AND VICE PRES.				-
DIV. OF COMMUNITY DEVELOPMENT	15,280,887	12,524,487	351,291	2,405,109
DEPT OF DINE EDUCATION	46,624,819	15,701,411	1,896,696	29,026,713
DIV. OF ECONOMIC DEVELOPMENT	154,016	-	-	154,016
ENV. PROTECTION AGENCY	12,642,473	8,626,395	411,897	3,604,181
DIVISION OF GENERAL SERVICES	3,193,415	1,734,441	96	1,458,878
DEPARTMENT OF HEALTH	42,141,672	7,864,099	683,343	33,594,229
DIVISION OF HUMAN RESOURCES	45,185,411	30,404,785	1,060,995	13,719,631
DIVISION OF NATURAL RESOURCES	44,156,584	32,726,144	653,982	10,776,459
DIVISION OF PUBLIC SAFETY	18,867,968	13,806,360	277,236	4,784,372
DIVISION OF SOCIAL SERVICES	184,862,966	91,451,857	5,287,632	88,123,477
DIVISION OF TRANSPORTATION	230,348,378	65,578,828	53,978,110	110,791,440
<b>Total ALL DIVISIONS</b>	<b><u>645,337,579</u></b>	<b><u>280,501,361</u></b>	<b><u>64,601,277</u></b>	<b><u>300,234,941</u></b>

Prepared by:  
Contract Accounting  
04/09/19



THE NAVAJO NATION  
Active(Non-PEC) State Fund Report (Unaudited)  
February 28, 2019

**FY 2019**  
**EXHIBIT "E"**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	292,600	849	112,318	179,432
DIV. OF COMMUNITY DEVELOPMENT	11,083,662	2,604,227	1,100,509	7,378,927
DEPT OF DINE EDUCATION	2,317,523	350,632	709,125	1,257,766
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	-	-	-	-
DIVISION OF GENERAL SERVICES	1,291,866	310,208	66,429	915,229
DEPARTMENT OF HEALTH	7,292,968	2,700,255	1,045,714	3,546,999
DIVISION OF HUMAN RESOURCES	-	-	-	-
DIVISION OF NATURAL RESOURCES	17,930	17,921	-	9
DIVISION OF PUBLIC SAFETY	24,000	-	-	24,000
DIVISION OF SOCIAL SERVICES	8,560,247	2,799,566	46,619	5,714,062
DIVISION OF TRANSPORTATION	1,425,899	490,913	150,340	784,646
<b>Total ALL DIVISIONS</b>	<b><u>32,306,695</u></b>	<b><u>9,274,571</u></b>	<b><u>3,231,054</u></b>	<b><u>19,801,070</u></b>



THE NAVAJO NATION  
 Active(Non-PEC) BIA-IHS Report (Unaudited)  
 February 28, 2019

**FY 2019**  
**EXHIBIT "F"**

**Active (Non-PEC) BIA-IHS**

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
DEPARTMENT OF HEALTH	191,821,962	89,828,182	1,295,538.35	100,698,242
DIVISION OF PUBLIC SAFETY	44,705,416	28,806,254.33	3,837,778.16	12,061,383
DIVISION OF SOCIAL SERVICES	5,229,578	2,654,273.56	55,053.33	2,520,251
<b>FED 638 CONTRACT (DHHS - IHS)</b>	<b><u>241,756,956</u></b>	<b><u>121,288,710</u></b>	<b><u>5,188,370</u></b>	<b><u>115,279,877</u></b>
JUDICIAL BRANCH	5,896,162	5,010,220.75	79,614.58	806,327
OFFICE OF MANAGEMENT & BUDGET	106,169,994	93,695,235.95	0.00	12,474,758
DIV. OF COMMUNITY DEVELOPMENT	3,138,905	2,006,057.41	45,251.51	1,087,596
DEPT OF DINE EDUCATION	68,776,820	18,401,218.90	6,032,829.48	44,342,771
DIV.OF ECONOMIC DEVELOPMENT				-
DIVISION OF HUMAN RESOURCES	3,615,665	1,204,216.04	21,552.48	2,389,897
DIVISION OF NATURAL RESOURCES	154,677,346	59,075,489.90	27,195,539.60	68,406,316
DIVISION OF PUBLIC SAFETY	172,923,412	138,172,198.47	421,256.49	34,329,957
DIVISION OF SOCIAL SERVICES	37,168,476	24,663,566.26	2,222,609.11	10,282,301
DIVISION OF TRANSPORTATION	2,199,092	2,002,854.52	79,510.71	116,726
<b>FED 638 CONTRACT (DOI - BIA)</b>	<b><u>554,565,871</u></b>	<b><u>344,231,058</u></b>	<b><u>36,098,164</u></b>	<b><u>174,236,649</u></b>
<b>Total ALL DIVISIONS</b>	<b><u>796,322,827</u></b>	<b><u>465,519,768</u></b>	<b><u>41,286,534</u></b>	<b><u>289,516,525</u></b>

Prepared by:  
 Contract Accounting  
 04/10/19

Master Trust and Retirement Performance  
February 28, 2019  
\*\*Unaudited\*\*

FY 2019  
EXHIBIT "G"

MASTER TRUST*																	
	-100- General Fund	-115- Workers Comp	-120- Permanent Trust	-125- Handicapped Trust	-130- Senior Citizens Trust	-135- Vocational Education	-140- 1982 Chapter Claims Fund	-145- 1982 Scholarship	-155- Business Ind. Dev.	-160- 1986 Chapter Claims Fund	-165- Graduate Scholarship	-170- Tucson Gas & Electric Fund	-175- Veterans Fund	-180- Land Acquisition	-185- Sihasin Fund	FUND TOTALS	
<b>Beginning Balance (10/1/18)</b>	<b>62,234,185</b>	<b>12,090,707</b>	<b>2,243,517,084</b>	<b>12,497,504</b>	<b>10,329,799</b>	<b>10,697,318</b>	<b>26,719,951</b>	<b>13,513,645</b>	<b>54.95</b>	<b>31,977,453</b>	<b>39,226,409</b>	<b>2,970,454</b>	<b>141,636,885</b>	<b>125,072,573</b>	<b>493,473,291</b>	<b>3,225,957,314</b>	
Other Rec./Dist.																	58,400,000
Interest	739,699	99,664	11,733,782	90,409	80,479	82,939	309,460	148,470	0.70	370,613	197,107	12,639	879,867	795,212	3,797,314	19,337,654	
Dividends	161	26,424	9,262,265	29,449	22,527	23,419	22,030	14,423	-	25,032	118,787	10,042	365,249	325,520	2,185,134	12,430,462	
Other Income	22,588	3	6,967,606	3	1	2	2	1	-	4	12.67	1.10	44	44	1,012,734	8,003,047	
Net Change Accrued Inc.	26,325	4,330	627,728	3,900	3,536	3,426	5,506	2,900	0.02	6,007	16,334	1,345	54,886	59,211	140,553	955,987	
Unrealized Gain/Loss	603,574	(266,258)	(71,712,700)	(308,462)	(245,045)	(244,869)	(18,383)	(55,566)	0.62	7,568	(1,502,755)	(123,345)	(4,152,865)	(3,885,468)	(12,134,019)	(94,038,594)	
Realized Gain/Loss	20,242	115,231	12,618,464	114,838	103,947	99,196	86,319	52,482	-	84,974	553,561	41,828	1,497,070	1,563,715	2,120,791	19,072,658	
Fees and Expenses	(6,772)	(1)	(501,733)	(1)	(0)	(1)	(1)	(0)	-	(1)	(4)	(0)	(13)	(13)	(62,432)	(570,972)	
Amortization	(64,363)	(3,368)	(191,066)	(2,979)	(2,632)	(2,747)	(12,493)	(5,524)	(0.06)	(15,139)	(6,771)	(478)	(39,437)	(40,744)	(74,735)	(462,475)	
<b>Total Investment Change</b>	<b>1,341,454</b>	<b>(23,976)</b>	<b>(31,195,653)</b>	<b>(72,842)</b>	<b>(37,187)</b>	<b>(38,635)</b>	<b>392,441</b>	<b>157,185</b>	<b>1.28</b>	<b>479,057</b>	<b>(623,729)</b>	<b>(57,968)</b>	<b>(1,395,200)</b>	<b>(1,182,522)</b>	<b>(3,014,660)</b>	<b>(35,272,233)</b>	
<b>Ending Balance (2/28/19)</b>	<b>63,575,638</b>	<b>12,066,732</b>	<b>2,212,321,431</b>	<b>12,424,663</b>	<b>10,292,612</b>	<b>10,658,683</b>	<b>27,112,392</b>	<b>13,670,830</b>	<b>56.23</b>	<b>32,456,510</b>	<b>38,602,681</b>	<b>2,912,486</b>	<b>140,241,685</b>	<b>123,890,051</b>	<b>548,858,632</b>	<b>3,249,085,081</b>	
Allocation (%)	1.96%	0.37%	68.09%	0.38%	0.32%	0.33%	0.83%	0.42%	0.00%	1.00%	1.19%	0.09%	4.32%	3.81%	16.89%	100.00%	
FYTD Total Return	2.16%	-0.20%	-1.39%	-0.58%	-0.36%	-0.36%	1.47%	1.16%	2.33%	1.50%	-1.59%	-1.95%	-0.99%	-0.95%	-0.61%	-1.09%	

Gaming**																	
<b>Beginning Balance (10/01/18)</b>			<b>145,115,563</b>	<b>1,209,891</b>	<b>1,038,486</b>	<b>1,208,828</b>	<b>3,468,843</b>	<b>1,563,291</b>		<b>4,496,310</b>	<b>3,264,253</b>	<b>181,152</b>	<b>5,160,102</b>	<b>36,277,577</b>		<b>202,984,296</b>	
Interest			4,542,335	37,864	32,499	37,830	110,287	48,316		138,973	102,836	5,596	161,500	1,137,941		6,355,976	
<b>Ending Balance (3/31/19)</b>			<b>142,635,838</b>	<b>1,189,221</b>	<b>1,020,745</b>	<b>1,188,176</b>	<b>3,408,635</b>	<b>1,536,915</b>		<b>4,420,443</b>	<b>3,208,113</b>	<b>178,097</b>	<b>5,071,936</b>	<b>35,656,359</b>		<b>199,514,478</b>	
Allocation (%)			71.49%	0.60%	0.51%	0.60%	1.71%	0.77%		2.22%	1.61%	0.09%	2.54%	17.87%		100.00%	

RETIREMENT						
Description	FY 2019 Beginning Balance	10/31/2018	11/30/2018	12/31/2018	1/31/2019	2/28/2019
UST SECURITIES		168,850,751	166,979,663	171,301,934	170,559,031	170,556,721
COMMON STOCKS		512,951,648	519,553,244	473,179,182	518,294,335	535,307,575
CORPORATE BONDS		78,143,586	78,229,991	78,268,633	80,569,602	79,573,029
OTHER SEC (partnership)						
MUNICIPAL BONDS		694,309	689,252	675,526	696,840	690,721
INTERNATIONAL (Partnership)		91,700,190	91,700,190	91,700,190	93,352,853	93,352,853
CASH EQUIVALENTS		20,005,442	27,141,014	24,028,235	27,355,536	25,662,837
INTEREST RECEIVABLE		1,606,370	1,668,980	1,636,018	1,650,463	1,940,846
<b>Account Balance</b>	<b>921,823,137</b>	<b>873,952,296</b>	<b>885,962,334</b>	<b>840,789,719</b>	<b>892,478,660</b>	<b>907,084,582</b>
FYTD Total Return***		-5.19%	-3.89%	-8.79%	-3.18%	-1.60%

\*Performance shown is Net of fees.

\*\*Gaming data separated from the Master Trust; Interest paid at Quarter End, pursuant to Gaming Refinance.

\*\*\*Simple Total Return ((Overall End. Bal. / Overall Bgn. Bal.) - 1)

Prepared by:  
Investment Section  
4/5/19