



THE NAVAJO NATION
General Fund Revenue Schedule
(Unaudited)
June 30, 2020

FY 2020

GENERAL FUND RECURRING REVENUE	Projected Revenue	Actual Revenue Received	Revenue to be collected	% Revenue of Total
TNN: ROYAL; GAS; OIL	\$ 27,886,000	\$ 14,638,401	\$ 13,247,599	52.49
TNN: COAL REVENUES	28,423,000	32,422,690	(3,999,690)	114.07
TNN:OTR MINERALS REV		42,585	(42,585)	
TNN: LAND REVENUES	64,445,000	58,277,012	6,167,988	90.43
TNN: BUSINESS FEES		44,500	(44,500)	
TNN: INTEREST INCOME	15,000,000	16,356,769	(1,356,769)	109.05
TNN: TAX REVENUES	58,117,000	56,656,352	1,460,648	97.49
COURT FINES + FEES	500,000	423,321	76,679	84.66
TNN: OTHER REVENUES	500,000	1,379,178	(879,178)	275.84
BIA: ROYAL; GAS; OIL		329,578	(329,578)	
BIA: COAL REVENUES		52	(52)	
BIA:OTR MINERALS REV			-	
BIA: LAND REVENUES		100,046	(100,046)	
Total Recurring Revenue	\$ 194,871,000	180,670,487	(1) \$ 14,200,513	92.71
GENERAL FUND NON-RECURRING REVENUE				
OTHER REVENUE	\$ 18,133,000	18,132,500	\$ 500	100.00
Total Non-Recurring Revenue	\$ 18,133,000	18,132,500	(2) \$ 500	100
TOTAL REVENUES	\$ 213,004,000	198,802,987	\$ 14,201,013	93.33
LESS:SET ASIDES				
CAPITAL OUTLAY MATCH	\$ (2,000,000)	(2,000,000.00)	\$ -	100.00
LAND FUND TRANSFER	(3,897,000)	(3,756,888.07)	(140,112)	96.40
PERMANENT FUND TRNSF	(23,385,000)	(22,541,328.40)	(843,672)	96.39
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000.00)	-	100.00
DINE' HIGHER EDUCATIO	(12,400,000)	(12,400,000.00)	-	100.00
VETERANS TRUST FUND S	(7,795,000)	(7,513,776.13)	(281,224)	96.39
TOTAL SET ASIDE	\$ (51,477,000)	\$ (50,211,993)	(3) \$ (1,265,007)	97.54
SUB TOTAL	\$ 161,527,000	\$ 148,590,994	(4) \$ 12,936,006	91.99
PERMANENT FUND INCOME TRANSFER				
OTHER REVENUE TRANSFER	\$ 19,170,000	\$ 19,170,000	(5) \$ -	100.00
TOTAL PFI TRANSFER	\$ 19,170,000	\$ 19,170,000	\$ -	100.00
NET PFI TRANSFER	\$ 19,170,000	\$ 19,170,000	\$ -	\$ 100
GRAND TOTAL	\$ 180,697,000	\$ 167,760,994	(6) \$ 12,936,006	92.84

- (1) Gross General Fund Recurring Revenues
- (1) Gross General Fund Non-Recurring Revenues
- (3) Total Set Asides for General Fund Revenue
- (4) Net General Fund Revenue
- (5) Permanent Fund Income allocation to General Fund
- (6) Grand total General Fund Revenues



The Navajo Nation
Budget Status_Income Statement
As of June 30, 2020

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
LEGISLATIVE BRANCH						
2001 - Personnel Expenses	\$ 12,604,040	13,365,208	8,005,494		\$ 5,359,714	40.10
3000 - Travel Expenses	1,301,062	1,934,088	1,069,041	32,120	832,927	43.07
3500 - Meeting Expenses	195,757	174,840	44,941	-	129,899	74.30
4000 - Supplies	301,050	621,626	169,572	57,505	394,548	63.47
5000 - Lease & Rental	196,524	245,314	101,305	37,332	106,676	43.49
5500 - Communications & Utilities	132,047	117,266	107,439	491	9,336	7.96
6000 - Repairs & Maintenance	141,253	221,702	42,583	12,465	166,654	75.17
6500 - Contractual Services	1,404,122	2,440,064	632,305	919,503	888,256	36.40
7000 - Special Transactions	433,687	581,207	249,978	39,557	291,672	50.18
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	124,291	21,536	5,940	5,940	9,656	44.84
9500 - Matching & Indirect Cost	-	-	-	-	-	-
Total LEGISLATIVE BRANCH	\$ 16,833,833	\$ 19,722,851	\$ 10,428,599	(1a) \$ 1,104,914	(1b) \$ 8,189,339	(1c) 41.52
EXECUTIVE BRANCH						
2001 - Personnel Expenses	\$ 88,287,472	91,011,026	55,528,163	-	\$ 35,482,863	38.99
3000 - Travel Expenses	9,378,954	8,944,266	5,470,352	7,165	3,466,749	38.76
3500 - Meeting Expenses	509,602	502,712	171,148	-	331,564	65.96
4000 - Supplies	4,523,263	8,934,638	2,869,194	1,236,409	4,829,035	54.05
5000 - Lease & Rental	1,210,135	1,785,646	866,201	76,900	842,545	47.18
5500 - Communications & Utilities	1,742,084	2,050,838	843,648	119,822	1,087,368	53.02
6000 - Repairs & Maintenance	1,843,554	4,684,743	1,117,663	432,680	3,134,400	66.91
6500 - Contractual Services	4,771,332	9,205,994	3,981,307	2,125,607	3,099,081	33.66
7000 - Special Transactions	2,849,072	4,610,784	1,676,909	205,668	2,728,207	59.17
8000 - Assistance	34,262,212	42,242,927	25,240,184	8,100,001	8,902,742	21.08
9000 - Capital Outlay	3,889,727	6,013,734	1,082,460	1,322,614	3,608,660	60.01
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	-	3,188,465	1,085,836	-	2,102,629	65.94
Total EXECUTIVE BRANCH	\$ 153,267,407	\$ 183,175,774	\$ 99,933,065	(2a) \$ 13,626,866	(2b) \$ 69,615,843	(2c) 38.00
JUDICIAL BRANCH						
2001 - Personnel Expenses	\$ 13,942,037	13,945,427	8,718,502	-	\$ 5,226,925	37.48
3000 - Travel Expenses	434,215	406,957	216,249	-	190,709	46.86
3500 - Meeting Expenses	20,800	20,800	5,525	-	15,275	73.44
4000 - Supplies	271,564	469,767	182,309	50,350	237,107	50.47
5000 - Lease & Rental	15,881	23,655	13,429	-	10,226	43.23
5500 - Communications & Utilities	79,294	90,211	20,079	-	70,132	77.74
6000 - Repairs & Maintenance	39,817	67,636	17,147	-	50,489	74.65
6500 - Contractual Services	9,587	14,087	1,621	1,500	10,966	77.84
7000 - Special Transactions	261,562	253,855	141,942	700	111,214	43.81
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	-	-	-	-	-	-
9300 - Other Income and Expense	-	-	-	-	-	-
Total JUDICIAL BRANCH	\$ 15,074,757	\$ 15,292,395	\$ 9,316,802	(3a) \$ 52,550	(3b) \$ 5,923,043	(3c) 38.73
FIXED COST						
2001 - Personnel Expenses	\$ -	-	-	-	\$ -	-
3000 - Travel Expenses	382,789	374,500	149,407	-	225,093	60.10
3500 - Meeting Expenses	-	-	-	-	-	-
4000 - Supplies	236,045	331,941	126,068	46,826	159,048	47.91
5000 - Lease & Rental	162,884	167,733	141,062	7,123	19,548	11.65
5500 - Communications & Utilities	5,969,951	6,372,949	3,725,937	214,335	2,432,676	38.17
6000 - Repairs & Maintenance	2,620,102	3,653,105	1,342,579	339,803	1,970,722	53.95
6500 - Contractual Services	2,735,050	5,964,560	1,640,776	2,836,066	1,487,719	24.94
7000 - Special Transactions	5,469,752	6,033,291	5,195,723	2,942	834,627	13.83
8000 - Assistance	-	-	636	-	(636)	-
9000 - Capital Outlay	69,168	374,572	63,835	151,990	158,747	42.38
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	3,800,000	3,800,000	2,982,316	-	817,684	21.52
Total FIXED COST	\$ 21,445,741	\$ 27,072,651	\$ 15,368,340	(4a) \$ 3,599,083	(4b) \$ 8,105,228	(4c) 29.94
GRAND TOTAL:	\$ 206,621,738	\$ 245,263,670	\$ 135,046,805	(5a) \$ 18,383,412	(5b) \$ 91,833,453	(5c) 37.44

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Fixed Cost

- (4a) Fixed Cost Expenses
- (4b) Fixed Cost Encumbrances
- (4c) Fixed Cost Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Total General Fund and Fixed Cost

- (5a) General Fund and Fixed Cost Expenses
- (5b) General Fund and Fixed Cost Encumbrances
- (5c) General Fund and Fixed Cost Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available



Undesignated, Unreserved, Fund Balance (UUFB)
July 13, 2020

09-30-19 UUFB balance (Un-Audited) \$ 16,960,422

Less Supplementals:

* CO-32-19 - DALTCS 170,582

CD-53-19- Transmission Costs 1,900,694

CMA-06-20 NDOH 4,000,000

CMA-11-20 Chapter Emergency Funds 1,370,364

CMY-33-20 Water Right Litigation 2,000,000

CJY-51-20 Navajo-Hopi Legal Services Program 55,530

Total Supplementals 9,497,170

UUFB -Unaudited 7/13/20 \$ 7,463,252

* Line item veto of items



THE NAVAJO NATION
Active(Non-PEC) Federal Fund Report (Unaudited)
May 31, 2020

FY 2020
EXHIBIT "D"

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	-	-	-	-
JUDICIAL BRANCH	1,830,159	127,199	-	1,702,960
OFFICE OF ATTORNEY GENERAL	564,127	-	-	564,127
DIV. OF COMMUNITY DEVELOPMENT	6,649,405	4,514,140	525,439	1,609,826
DEPT OF DINE EDUCATION	48,543,086	25,520,272	129,619	22,893,194
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	14,781,884	9,172,897	177,855	5,431,131
DIVISION OF GENERAL SERVICES	10,114,271	3,162,788	65,185	6,886,298
DEPARTMENT OF HEALTH	53,599,694	17,579,473	553,223	35,466,997
DIVISION OF HUMAN RESOURCES	51,812,814	31,078,393	761,163	19,973,258
DIVISION OF NATURAL RESOURCES	45,046,443	33,468,861	657,374	10,920,208
DIVISION OF PUBLIC SAFETY	25,825,622	18,561,743	1,382,294	5,881,586
DIVISION OF SOCIAL SERVICES	212,977,257	105,243,067	6,274,430	101,459,760
DIVISION OF TRANSPORTATION	247,718,344	70,030,364	54,309,263	123,378,717
Total ALL DIVISIONS	<u>719,463,106</u>	<u>318,459,197</u>	<u>64,835,846</u>	<u>336,168,063</u>



THE NAVAJO NATION
Active(Non-PEC) State Fund Report (Unaudited)
May 31, 2020

FY 2020
EXHIBIT "E"

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	292,600	106,499	1,763	184,338
DIV. OF COMMUNITY DEVELOPMENT	20,995,394	1,727,022	6,873,751	12,394,622
DEPT OF DINE EDUCATION	2,245,000	852,765	510,235	881,999
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	-	-	-	-
DIVISION OF GENERAL SERVICES	1,777,716	972,288	127,126	678,302
DEPARTMENT OF HEALTH	9,646,321	3,473,316	603,730	5,569,274
DIVISION OF HUMAN RESOURCES	40,000	-	-	40,000
DIVISION OF NATURAL RESOURCES	-	-	-	-
DIVISION OF PUBLIC SAFETY	-	-	-	-
DIVISION OF SOCIAL SERVICES	7,250,740	3,162,382	-	4,088,358
DIVISION OF TRANSPORTATION	6,748,068	1,115,393	2,077,206	3,555,468
Total ALL DIVISIONS	<u>48,995,839</u>	<u>11,409,665</u>	<u>10,193,813</u>	<u>27,392,361</u>



THE NAVAJO NATION
 Active(Non-PEC) BIA-IHS Report (Unaudited)
 May 31, 2020

FY 2020
EXHIBIT "F"

Active (Non-PEC) BIA-IHS

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
DEPARTMENT OF HEALTH	236,493,803	122,802,898	1,566,272.86	112,124,632
DIVISION OF PUBLIC SAFETY	55,140,123	40,031,638.54	39,219.64	15,069,264
DIVISION OF SOCIAL SERVICES	7,104,140	3,569,579.15	36,744.02	3,497,817
FED 638 CONTRACT (DHHS - IHS)	298,738,066	166,404,115	1,642,237	130,691,714
JUDICIAL BRANCH	5,760,955	3,953,262.29	30,550.48	1,777,142
OFFICE OF ATTORNEY GENERAL	537,432	160,682.06	-	376,750
OFFICE OF MANAGEMENT & BUDGET	132,533,908	116,377,303.48	-	16,156,605
OFFICE OF THE CONTROLLER	-	-	-	-
DIV.OF COMMUNITY DEVELOPMENT	2,097,171	1,167,857.65	28,604.16	900,709
DEPT OF DINE EDUCATION	99,201,465	30,537,984.98	6,179,240.09	62,484,240
DIVISION OF HUMAN RESOURCES	9,387,810	2,223,603.83	24,771.28	7,139,435
DIVISION OF NATURAL RESOURCES	158,495,640	67,989,434.65	18,939,997.04	71,566,208
DIVISION OF PUBLIC SAFETY	148,991,613	96,488,093.33	630,620.44	51,872,899
DIVISION OF SOCIAL SERVICES	53,244,490	31,194,794.05	4,671,012.64	17,378,683
DIVISION OF TRANSPORTATION	2,199,092	2,002,854.52	79,510.71	116,726
FED 638 CONTRACT (DOI - BIA)	612,449,575	352,095,871	30,584,307	229,769,398
Total ALL DIVISIONS	911,187,641	518,499,986	32,226,543	360,461,112

Master Trust and Retirement Performance

FY 2020 Exhibit G

May 31, 2020

Unaudited

MASTER TRUST*																
	-100- General Fund	-115- Workers Comp	-120- Permanent Trust	-125- Handicapped Trust	-130- Senior Citizens Trust	-135- Vocational Education	-140- 1982 Chapter Claims Fund	-145- 1982 Scholarship	-155- Business Ind. Dev.	-160- 1986 Chapter Claims Fund	-165- Graduate Scholarship	-170- Tucson Gas & Electric Fund	-175- Veterans Fund	-180- Land Acquisition	-185- Sihasin Fund	FUND TOTALS
Beginning Balance (10/1/19)	66,037,875	12,951,547	2,348,084,829	13,407,462	11,094,515	11,494,332	29,077,496	14,695,758	59	34,815,851	41,682,520	3,134,000	150,768,311	132,230,835	579,619,979	3,449,095,369
Other Rec./Dist.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(31,971,180)	(31,971,180)
Interest	1,318,156	159,347	14,937,102	144,111	128,316	137,964	502,259	243,156	1.19	602,488	341,259	22,352	1,437,109	1,320,573	4,578,935	25,873,128
Dividends	202	46,126	15,290,489	53,376	39,657	38,639	41,239	23,947	-	47,781	203,954	17,075	656,233	582,791	3,566,930	20,608,438
Other Income	65,073	8	11,628,937	10	4	6	6	4	-	11	36.51	3.18	126	127	1,778,524	13,472,876
Net Change Accrued Inc.	(45,890)	(94)	(2,429,705)	355	346	469	(3,818)	(1,430)	(0.04)	(4,848)	5,153	276	(1,719)	(7,528)	(166,203)	(2,654,636)
Unrealized Gain/Loss	709,406	(425,418)	(92,512,056)	(481,623)	(419,037)	(493,508)	(94,770)	(174,246)	0.87	(49,143)	(2,897,062)	(221,538)	(6,602,354)	(6,371,749)	(19,449,739)	(129,482,837)
Realized Gain/Loss	817,281	780,751	117,310,410	1,105,649	901,931	931,185	1,123,542	691,128	1.07	1,372,511	4,625,745	326,488	13,929,750	10,320,612	18,725,656	172,962,640
Fees and Expenses	(19,515)	(8)	(2,381,703)	(22)	(15)	(17)	(6)	(8)	-	(15)	(87)	(6)	(311)	(149)	(140,441)	(2,542,305)
Amortization	(140,329)	(10,312)	875,277	(9,226)	(8,209)	(8,493)	(35,506)	(16,120)	(0.16)	(42,927)	(20,354)	(1,371)	(107,005)	(104,708)	(218,351)	152,366
Total Investment Change	2,704,385	550,400	62,718,751	812,629	642,993	606,246	1,532,945	766,430	2.93	1,925,857	2,258,645	143,279	9,311,829	5,739,971	8,675,309	98,389,672
Ending Balance (5/31/20)	68,742,260	13,501,947	2,410,803,580	14,220,091	11,737,508	12,100,578	30,610,441	15,462,188	62	36,741,709	43,941,164	3,277,279	160,080,140	137,970,806	556,324,108	3,515,513,861
Allocation (%)	1.96%	0.38%	68.58%	0.40%	0.33%	0.34%	0.87%	0.44%	0.00%	1.05%	1.25%	0.09%	4.55%	3.92%	15.82%	100.00%
FYTD Total Return	4.10%	4.25%	2.67%	6.06%	5.80%	5.27%	5.27%	5.22%	4.94%	5.53%	5.42%	4.57%	6.18%	4.34%	1.50%	2.85%

Gaming**																
Beginning Balance (10/01/19)			140,156,113	1,168,550	1,003,003	1,167,524	3,348,428	1,510,539		4,344,576	3,151,974	175,442	4,983,771	35,035,141		196,044,660
Interest			4,410,374	36,764	31,555	36,731	107,083	46,912		134,936	99,848	5,433	156,809	1,104,882		6,171,327
Ending Balance (5/31/20)			137,676,387	1,147,880	985,262	1,146,872	3,288,220	1,484,162		4,268,708	3,095,834	171,987	4,895,606	34,413,923		192,574,842
Allocation (%)			71.49%	0.60%	0.51%	0.60%	1.71%	0.77%		2.22%	1.61%	0.09%	2.54%	17.87%		100.00%

Aggregate End. Bal. Total	68,742,260	13,501,947	2,548,479,968	15,367,971	12,722,770	13,247,450	33,898,661	16,946,350	62	41,010,417	47,036,998	3,449,267	164,975,746	172,384,729	556,324,108	3,708,088,703
	1.9%	0.4%	68.7%	0.4%	0.3%	0.4%	0.9%	0.5%	0.0%	1.1%	1.3%	0.1%	4.4%	4.6%	15.0%	100.00%

Date	Aggregate Total (Includes Gaming)
9/30/2019	3,645,140,029
5/31/2020	3,708,088,703

RETIREMENT									
Description	FY 2020								
	Beginning Balance	10/31/2019	11/30/2019	12/31/2019	1/31/2020	2/29/2020	3/31/2020	4/30/2020	5/31/2020
UST Treasuries	178,311,176	180,028,971	183,700,153	187,534,936	188,691,520	179,494,662	183,271,936	183,325,473	
Common Stocks	563,750,209	583,422,871	603,977,613	594,169,916	549,972,985	428,858,320	477,461,208	508,355,707	
Corporate Bonds	86,546,694	83,105,066	82,815,816	84,844,612	86,917,422	117,884,418	128,450,695	134,649,976	
Other Secur. (Partnerships)									
Municipal Bonds	795,374	784,356	767,239	813,265	851,265	750,605	757,798	776,619	
Real Estate	97,535,445	97,535,445	97,535,445	107,475,660	105,475,660	105,725,660	106,792,625	106,756,375	
Cash & Cash Equivalents	25,901,606	28,962,205	24,862,321	21,622,394	23,767,842	18,656,619	20,609,384	17,674,983	
Interest Receivables	1,662,545	1,776,565,01	1,764,766	1,685,808	1,847,317	1,660,141	1,625,616	1,532,185	
ACCOUNT BALANCE	941,965,959	954,503,049	975,615,479	995,423,353	998,146,590	957,524,009	853,030,426	918,969,261	953,071,320
FYTD Total Return***		1.33%	3.57%	5.68%	5.96%	1.65%	-9.44%	-2.44%	1.18%
				5.68%			-14.30%		11.73%

*Performance shown is Net of fees.

**Gaming data separated from the Master Trust; Interest paid at Quarter End, pursuant to Gaming Refinance.

***Simple Total Return ((Overall End. Bal. / Overall Bgn. Bal.) - 1); May vary due to calculation method and/or rounding of numbers