

Navajo Nation Office of Controller
US TREASURY CARES ACT
As of October 15, 2020

EXHIBIT "A"

Job	Business Unit Description	Actual Start Date	Actual Comp. Date	Budget	Expenditures	Open Commitments	Budget Balance as of October 15, 2020
K201506	US TREASURY - JUDICIAL BRANCH	3/1/2020	12/30/2020	9,633,863.00	28,281.26	1,305,373.27	8,300,208.47
	Total JUDICIAL BRANCH			9,633,863.00	28,281.26	1,305,373.27	8,300,208.47
K201501	US TREASURY - FINANCIAL SYSTEM	3/1/2020	12/30/2020	2,559,530.00	2,559,499.00	0.00	31.00
K201502	US TREASURY - SPECIAL DUTY PAY	3/1/2020	12/30/2020	21,000,000.00	500,553.22	0.00	20,499,446.78
K201513	US TREASURY - PAYROLL SUPPORT	3/1/2020	12/30/2020	42,124,455.00	39,211,825.85	0.00	2,912,629.15
K201516	US TREASURY- GAMING ENTERPRISE	3/1/2020	12/30/2020	24,600,000.00	24,600,000.00	0.00	0.00
	Total OFFICE OF THE CONTROLLER			90,283,985.00	66,871,878.07	0.00	23,412,106.93
K201500	US TREASURY-CPMD BATHROOM ADD	3/1/2020	12/30/2020	3,500,000.00	74,250.00	3,425,750.00	0.00
K201512	US TREASURY- SOLAR PROJECTS	3/1/2020	12/30/2020	35,192,000.00	33,200,000.00	1,992,000.00	0.00
K201515	US TREASURY-POWERLINE PROJECTS	3/1/2020	12/30/2020	44,220,832.00	30,557,731.36	8,937,099.64	4,726,001.00
	Total DIV. OF COMMUNITY DEVELOPMENT			82,912,832.00	63,831,981.36	14,354,849.64	4,726,001.00
K201511	US TREASURY - ECONOMIC DEVELOP	3/1/2020	12/30/2020	60,000,000.00	5,672,809.84	0.00	54,327,190.16
	Total DIV. OF ECONOMIC DEVELOPMENT			60,000,000.00	5,672,809.84	0.00	54,327,190.16
K201505	US TREASURY - DIT COMPUTER	3/1/2020	12/30/2020	2,000,000.00	1,999,993.16	0.00	6.84
K201507	US TREASURY - FACILITIES MAINT	3/1/2020	12/30/2020	10,000,000.00	0.00	0.00	10,000,000.00
K201510	US TREASURY - BROADBAND TELECO	3/1/2020	12/30/2020	53,224,989.00	20,235,361.15	29,589,626.65	3,400,001.20
K201519	US TREASURY - BROADBAND TELE 2	3/1/2020	12/30/2020	15,000,000.00	0.00	0.00	15,000,000.00
	Total DIVISION OF GENERAL SERVICES			80,224,989.00	22,235,354.31	29,589,626.65	28,400,008.04
K201503	US TREASURY - CARE PACKAGES	3/1/2020	12/30/2020	10,000,000.00	574,193.08	9,046,300.00	379,506.92
K201504	US TREASURY - HEALTHCARE FAC	3/1/2020	12/30/2020	3,000,000.00	0.00	2,250,000.00	750,000.00
K201508	US TREASURY - PPE	3/1/2020	12/30/2020	10,000,000.00	0.00	0.00	10,000,000.00
K201509	US TREASURY PUBLIC HEALTH/HC	3/1/2020	12/30/2020	75,836,016.00	0.00	50,000,000.00	25,836,016.00
	Total DEPARTMENT OF HEALTH			98,836,016.00	574,193.08	61,296,300.00	36,965,522.92
K201514	US TREASURY - WATER PROJECTS	3/1/2020	12/30/2020	130,005,095.00	0.00	2,101,340.88	127,903,754.12
K201517	US TREASURY - PARKS & REC	3/1/2020	12/30/2020	828,637.00	0.00	0.00	828,637.00
	Total DIVISION OF NATURAL RESOURCES			130,833,732.00	0.00	2,101,340.88	128,732,391.12
	Total ALL DIVISIONS			552,725,417.00	159,214,497.92	108,647,490.44	284,863,428.64

CJN-47-20		21,059,530.00	5,207,935.24	14,722,050.00	1,129,544.76
CJN-46-20		41,000,000.00	59,447,187.00	29,589,626.65	16,312,630.35
CJY-67-20		490,665,887.00	153,506,009.46	93,925,440.44	243,234,437.10
		552,725,417.00	218,161,131.70	138,237,117.09	260,676,612.21

CS-73-20 and CS-74-20 was approved on October 9, 2020.
 Business units have not been set up.

FY 2020 GENERAL FUND REVENUES(unaudited)

EXHIBIT "B"

As of September 30 2020

GENERAL FUND RECURRING REVENUE	Revenue Projection	Actual Revenue Received	Revenue to be collected	%
TNN: ROYAL; GAS; OIL	\$ 27,886,000	\$ 18,983,983	\$ 8,902,017	68.08
TNN: COAL REVENUES	28,423,000	41,049,618	(12,626,618)	144.42
TNN:OTR MINERALS REV		45,404	(45,404)	
TNN: LAND REVENUES	64,445,000	67,373,755	(2,928,755)	104.54
TNN: BUSINESS FEES		65,620	(65,620)	
TNN: INTEREST INCOME	15,000,000	20,347,995	(5,347,995)	135.65
TNN: TAX REVENUES	58,117,000	63,467,785	(5,350,785)	109.21
COURT FINES + FEES	500,000	479,287	20,713	95.86
TNN: OTHER REVENUES	500,000	1,700,889	(1,200,889)	340.18
BIA: ROYAL; GAS; OIL		411,038	(411,038)	
BIA: COAL REVENUES		70	(70)	
BIA:OTR MINERALS REV			-	
BIA: LAND REVENUES		104,894	(104,894)	
	\$ 194,871,000	214,030,336	\$ (19,159,336)	109.83
NON RECURRING REVENUE				
OTHER REVENUE*	18,133,000	18,132,500	500	100.00
TOTAL REVENUES	\$ 213,004,000	\$ 232,162,836	\$ (19,158,836)	108.99
* - NGS Retirement				
SET ASIDES				
CAPITAL OUTLAY MATCH	\$ (2,000,000)	(2,000,000)	-	100.00
LAND FUND TRANSFER	(3,897,000)	(4,643,257)	746,257	119.15
PERMANENT FUND TRNSF	(23,385,000)	(27,859,540)	4,474,540	119.13
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000)	-	100.00
DINE' HIGHER EDUCATIO	(12,400,000)	(12,400,000)	-	100.00
VETERANS TRUST FUND S	(7,795,000)	(9,286,513)	1,491,513	119.13
	\$ (51,477,000)	(58,189,310)	\$ 6,712,310	113.04
PERMANENT FUND INCOME TRANSFER				
OTHER REVENUE TRANSFER	\$ 19,170,000	19,170,000	-	100.00
TOTAL PFI TRANSFER	\$ 19,170,000	19,170,000	-	100.00
GRAND GENERAL FUND TOTAL	\$ 180,697,000	193,143,525	\$ (12,446,525)	106.89

* Note that the FET amounts still need to be transferred to Road Fund.



The Navajo Nation
Budget Status_Income Statement
As of September 30, 2020

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
LEGISLATIVE BRANCH						
2001 - Personnel Expenses	\$ 12,604,040	13,612,536	10,947,498		\$ 2,665,039	19.58
3000 - Travel Expenses	1,301,062	1,578,241	1,255,625	-	322,615	20.44
3500 - Meeting Expenses	195,757	133,770	45,122	-	88,647	66.27
4000 - Supplies	301,050	737,942	304,959		432,983	58.67
5000 - Lease & Rental	196,524	243,172	140,443	32,952	69,777	28.69
5500 - Communications & Utilities	132,047	146,366	189,980	-	(43,614)	-29.80
6000 - Repairs & Maintenance	141,253	174,206	67,896	8,284	98,027	56.27
6500 - Contractual Services	1,404,122	2,636,208	922,586	998,058	715,564	27.14
7000 - Special Transactions	433,687	578,581	387,066	-	191,515	33.10
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	124,291	38,536	15,889	-	22,647	58.77
9500 - Matching & Indirect Cost	-	-	-	-	-	-
Total LEGISLATIVE BRANCH	\$ 16,833,833	\$ 19,879,559	\$ 14,277,064	(1a) \$ 1,039,294	(1b) \$ 4,563,200	(1c) 22.95
EXECUTIVE BRANCH						
2001 - Personnel Expenses	\$ 88,287,472	93,453,118	74,505,898	-	\$ 18,947,219	20.27
3000 - Travel Expenses	9,378,954	7,815,887	7,202,664	-	613,223	7.85
3500 - Meeting Expenses	509,602	446,606	285,358	-	161,249	36.11
4000 - Supplies	4,523,263	11,797,634	5,945,932	509,630	5,342,072	45.28
5000 - Lease & Rental	1,210,135	1,660,785	1,024,041	144,650	492,094	29.63
5500 - Communications & Utilities	1,742,084	2,712,991	1,524,570	97,273	1,091,148	40.22
6000 - Repairs & Maintenance	1,843,554	3,994,947	1,536,696	819,230	1,639,021	41.03
6500 - Contractual Services	4,771,332	9,508,275	4,742,884	3,223,942	1,541,448	16.21
7000 - Special Transactions	2,849,072	4,158,456	2,443,878	59,695	1,654,883	39.80
8000 - Assistance	34,262,212	42,246,546	30,456,871	6,177,417	5,612,258	13.28
9000 - Capital Outlay	3,889,727	7,126,702	1,643,667	1,124,798	4,358,237	61.15
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	-	1,010,944	78,507	-	932,437	92.23
Total EXECUTIVE BRANCH	\$ 153,267,407	\$ 185,932,891	\$ 131,390,967	(2a) \$ 12,156,634	(2b) \$ 42,385,289	(2c) 22.80
JUDICIAL BRANCH						
2001 - Personnel Expenses	\$ 13,942,037	14,224,608	11,723,685		\$ 2,500,922	17.58
3000 - Travel Expenses	434,215	292,529	262,208	-	30,320	10.36
3500 - Meeting Expenses	20,800	15,240	5,525	-	9,715	63.75
4000 - Supplies	271,564	635,865	371,599		264,267	41.56
5000 - Lease & Rental	15,881	17,121	14,616	-	2,505	14.63
5500 - Communications & Utilities	79,294	77,403	68,274	-	9,129	11.79
6000 - Repairs & Maintenance	39,817	79,457	45,865	2,701	30,890	38.88
6500 - Contractual Services	9,587	10,587	1,621	1,500	7,466	70.52
7000 - Special Transactions	261,562	217,506	190,376	-	27,130	12.47
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	-	-	-	-	-	-
9300 - Other Income and Expense	-	-	-	-	-	-
Total JUDICIAL BRANCH	\$ 15,074,757	\$ 15,570,316	\$ 12,683,769	(3a) \$ 4,201	(3b) \$ 2,882,345	(3c) 18.51
FIXED COST						
2001 - Personnel Expenses	\$ -	-	-		\$ -	-
3000 - Travel Expenses	382,789	371,300	201,296	-	170,004	45.79
3500 - Meeting Expenses	-	-	-	-	-	-
4000 - Supplies	236,045	364,302	209,942	-	154,360	42.37
5000 - Lease & Rental	162,884	167,733	154,247	2,162	11,325	6.75
5500 - Communications & Utilities	5,969,951	6,423,992	5,769,220	367,125	287,647	4.48
6000 - Repairs & Maintenance	2,620,102	3,612,572	1,888,032	192,259	1,532,281	42.42
6500 - Contractual Services	2,747,050	6,207,638	2,351,673	2,436,892	1,419,072	22.86
7000 - Special Transactions	5,469,752	6,030,284	5,444,295	-	585,989	9.72
8000 - Assistance	-	-	636	-	(636)	-
9000 - Capital Outlay	69,168	374,572	99,854	151,990	122,728	32.76
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	3,800,000	9,622,053	2,431,843		7,190,210	74.73
Total FIXED COST	\$ 21,457,741	\$ 33,174,445	\$ 18,551,037	(4a) \$ 3,150,427	(4b) \$ 11,472,981	(4c) 34.58
GRAND TOTAL:	\$ 206,633,738	\$ 254,557,210	\$ 176,902,837	(5a) \$ 16,350,558	(5b) \$ 61,303,816	(5c) 24.08

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Fixed Cost

- (4a) Fixed Cost Expenses
- (4b) Fixed Cost Encumbrances
- (4c) Fixed Cost Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Total General Fund and Fixed Cost

- (5a) General Fund and Fixed Cost Expenses
- (5b) General Fund and Fixed Cost Encumbrances
- (5c) General Fund and Fixed Cost Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available



**Undesignated, Unreserved, Fund Balance (UUFB)
September 30, 2020**

09-30-19 UUFB balance (Audited) \$ 46,686,377

Less Supplementals:

* CO-32-19 - DALTCS	170,582
CD-53-19- Transmission Costs	1,900,694
CMA-06-20 NDOH	4,000,000
CMA-11-20 Chapter Emergency Funds	1,370,364
CMY-33-20 Water Right Litigation	2,000,000
CJY-51-20 Navajo-Hopi Legal Services Program	<u>55,530</u>

Total Supplementals 9,497,170

UUFB -Unaudited 9/30/20 \$ 37,189,207

* Line item veto of items



THE NAVAJO NATION
Active(Non-PEC) Federal Fund Report (Unaudited)
August 31, 2020

FY 2020
EXHIBIT "E"

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	-	-	-	-
JUDICIAL BRANCH	1,830,159	127,199	-	1,702,960
OFFICE OF ATTORNEY GENERAL	1,272,575	-	-	1,272,575
DIV. OF COMMUNITY DEVELOPMENT	13,649,405	4,579,234	930,115	8,140,056
DEPT OF DINE EDUCATION	24,509,265	11,743,734	730,393	12,035,138
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	14,781,884	9,887,872	128,562	4,765,450
DIVISION OF GENERAL SERVICES	12,016,972	3,360,672	103,199	8,553,101
DEPARTMENT OF HEALTH	58,513,570	23,989,439	462,532	34,061,599
DIVISION OF HUMAN RESOURCES	42,656,014	18,539,741	333,047	23,783,225
DIVISION OF NATURAL RESOURCES	55,220,729	36,082,363	1,195,463	17,942,903
DIVISION OF PUBLIC SAFETY	25,390,235	19,297,133	431,524	5,661,579
DIVISION OF SOCIAL SERVICES	267,045,297	119,242,082	5,908,750	141,894,466
DIVISION OF TRANSPORTATION	289,972,789	76,876,981	49,486,979	163,608,829
Total ALL DIVISIONS	<u>806,858,895</u>	<u>323,726,450</u>	<u>59,710,564</u>	<u>423,421,882</u>



THE NAVAJO NATION
Active(Non-PEC) State Fund Report (Unaudited)
August 31, 2020

FY 2020
EXHIBIT "F"

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
LEGISLATIVE BRANCH	292,600	107,542	75,326	109,732
DIV. OF COMMUNITY DEVELOPMENT	28,668,379	3,035,090	6,266,916	19,366,373
DEPT OF DINE EDUCATION	3,242,500	1,196,724	125,316	1,920,459
DIV. OF ECONOMIC DEVELOPMENT	-	-	-	-
ENV. PROTECTION AGENCY	-	-	-	-
DIVISION OF GENERAL SERVICES	2,006,796	1,239,331	36,350	731,115
DEPARTMENT OF HEALTH	8,394,910	2,286,795	4,783	6,103,332
DIVISION OF HUMAN RESOURCES	40,000	-	-	40,000
DIVISION OF NATURAL RESOURCES	-	-	-	-
DIVISION OF PUBLIC SAFETY	-	-	-	-
DIVISION OF SOCIAL SERVICES	8,651,872	5,816,590	6,817	2,828,465
DIVISION OF TRANSPORTATION	6,513,068	1,081,361	2,628,041	2,803,665
Total ALL DIVISIONS	<u>57,810,125</u>	<u>14,763,434</u>	<u>9,143,549</u>	<u>33,903,142</u>



THE NAVAJO NATION
 Active(Non-PEC) BIA-IHS Report (Unaudited)
 August 31, 2020

FY 2020
EXHIBIT "G"

Active (Non-PEC) BIA-IHS

	<u>Revised Budget</u>	<u>Actual Expenses</u>	<u>Encumbrances</u>	<u>Budget Balance</u>
DEPARTMENT OF HEALTH	236,794,842	129,672,735	1,606,442	105,515,665
DIVISION OF PUBLIC SAFETY	55,722,262	42,970,196	3,978,146	8,773,920
DIVISION OF SOCIAL SERVICES	7,148,463	3,806,739	33,793	3,307,931
FED 638 CONTRACT (DHHS - IHS)	<u>299,665,567</u>	<u>176,449,671</u>	<u>5,618,381</u>	<u>117,597,516</u>
JUDICIAL BRANCH	6,343,724	4,437,750	38,767	1,867,207
OFFICE OF ATTORNEY GENERAL	537,432	240,373	-	297,059
OFFICE OF MANAGEMENT & BUDGET	132,533,908	118,827,243	-	13,706,665
OFFICE OF THE CONTROLLER	-	-	-	-
DIV.OF COMMUNITY DEVELOPMENT	2,048,055	1,375,240	38,970	633,845
DEPT OF DINE EDUCATION	95,864,616	35,905,051	5,297,712	54,661,853
DIVISION OF HUMAN RESOURCES	10,801,503	3,449,534	68,602	7,283,366
DIVISION OF NATURAL RESOURCES	157,988,758	69,822,483	16,531,600	71,634,674
DIVISION OF PUBLIC SAFETY	145,212,631	102,992,173	501,138	41,719,321
DIVISION OF SOCIAL SERVICES	42,952,359	19,374,697	2,466,272	21,111,390
DIVISION OF TRANSPORTATION	2,199,092	2,002,855	79,511	116,726
FED 638 CONTRACT (DOI - BIA)	<u>596,482,077</u>	<u>358,427,400</u>	<u>25,022,572</u>	<u>213,032,106</u>
Total ALL DIVISIONS	<u>896,147,644</u>	<u>534,877,070</u>	<u>30,640,953</u>	<u>330,629,622</u>